

# Separating NE from Some Nonuniform Nondeterministic Complexity Classes

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**Abstract.** We investigate the question whether NE can be separated from the reduction closures of tally sets, sparse sets and NP. We show that (1)  $NE \not\subseteq R_{n^{o(1)-T}}^{\text{NP}}$ (TALLY); (2)  $NE \not\subseteq R_m^{\text{SN}}$ (SPARSE); and (3)  $NE \not\subseteq P_{n^k-T}^{\text{NP}}/n^k$  for all  $k \geq 1$ . Result (3) extends a previous result by Mocas to nonuniform reductions. We also investigate how different an NE-hard set is from an NP-set. We show that for any NP subset  $A$  of a many-one-hard set  $H$  for NE, there exists another NP subset  $A'$  of  $H$  such that  $A' \supseteq A$  and  $A' - A$  is not of sub-exponential density.

## 1 Introduction

This paper continues a line of research that tries to separate nondeterministic complexity classes in a stronger sense, i.e., separating nondeterministic complexity classes from the *reduction closure* of classes with lower complexity. We focus on the class NE of nondeterministically exponential-time computable sets. Two most interesting but long standing open problems regarding NE are whether every NE-complete set is polynomial-time Turing reducible to an NP set and whether it is polynomial-time Turing reducible to a sparse set. The latter question is equivalent to whether every NE-complete set has polynomial-size circuits, since a set is polynomial-time Turing reducible to a sparse set if and only if it has polynomial-size circuits [1]. We show results that generalize and/or improve previous results regarding these questions and help to better understand them. In complexity theory, a sparse set is a set with polynomially bounded density. Whether sparse sets are hard for complexity classes is one of the central problems in complexity theory [13, 17, 12, 5]. In particular, Mahaney [13] showed that sparse sets cannot be many-one complete for NP unless  $P=NP$ . In Section 3 we study the question whether sparse sets can be hard for NE under reductions that are weaker than the polynomial-time Turing reductions. We prove that no NE-hard set can be reducible to sparse sets via the *strong nondeterministic polynomial-time many-one reduction*. For a special case of sparse sets, tally sets, we strengthen the result to the *nondeterministic polynomial-time Turing reductions* that make at most  $n^{o(1)}$  many queries. These are the main results of this

paper. Note that generalizing these results to polynomial-time Turing reductions is hard since already the deterministic polynomial-time Turing reduction closure of sparse sets as well as that of p-selective sets equals  $P/poly$  [10], and it is not even known whether  $NE \not\subseteq P/poly$ .

We present a new result on the aforementioned long standing open question whether every NE set is polynomial-time Turing-reducible to a NP set. Fu et al. [7] first tackled this problem and showed that  $NE \not\subseteq P_{n^{o(1)-T}}(NP)$ . Their result was later improved by Mocas [14] to  $NEXP \not\subseteq P_{n^c-T}(NP)$  for any constant  $c > 0$ . Mocas's result is optimal with respect to relativizable proofs, as Buhrman and Torenvliet [3] constructed an oracle relative to which  $NEXP = P^{NP}$ . In this paper, we extend Mocas's result to nonuniform polynomial-time Turing reductions that uses a fixed polynomial number of advice bits. More precisely, we show that  $NE \not\subseteq P_{n^k-T}(NP)/n^{k'}$  for any constant  $k, k' > 0$ . Since it is easy to show for any  $k > 0$  that  $P_{n^k-T}(NP \oplus P\text{-Sel}) \subseteq P_{n^k-T}(NP)/n^k$ , where P-Sel denotes the class of p-selective sets, we obtain as a corollary that  $NE \not\subseteq P_{n^k-T}(NP \oplus P\text{-Sel})$ .

We investigate a different but related question. We study the question of how different a hard problem in NE is from a problem in NP. One way to measure the difference between sets is by using the notion of *closeness* introduced by Yesha [19]. We say two sets are  $f$ -close if the density of their symmetric difference is bounded by  $f(n)$ . The closeness to NP-hard sets were further studied by Fu [6] and Ogihara [16]. We show that for every  $\leq_m^P$ -complete set  $H$  for NE and every NP-set  $A \subseteq H$ , there exists another NP-set  $A' \subseteq H$  such that  $A \subseteq A'$  and  $A'$  is not subexponential-close to  $A$ . For coNE-complete sets we show a stronger result. We show that for every  $\leq_m^P$ -complete set  $H$  for coNE and every NP-set  $A \subseteq H$ , there exists another NP-set  $A' \subseteq H$  such that  $A \cap A' = \emptyset$  and  $A'$  is exponentially dense.

## 2 Notations

We use standard notations [11, 9] in structural complexity. All the languages throughout the paper are over the alphabet  $\Sigma = \{0, 1\}$ . For a string  $x$ ,  $|x|$  is the length of  $x$ . For a finite set  $A$ ,  $|A|$  is the number of elements in  $A$ . We use  $\Sigma^n$  to denote the set of all strings of length  $n$  and for any language  $L$ ,  $L^{\leq n} = L^n = L \cap \Sigma^n$ . We fix a pairing function  $\langle \cdot \rangle$  such that for every  $u, v \in \Sigma^*$ ,  $|\langle u, v \rangle| = 2(|u| + |v|)$ . For a function  $f(n) : N \rightarrow N$ ,  $f$  is *exponential* if for some constant  $c > 0$ ,  $f(n) \geq 2^{n^c}$  for all large  $n$ , and is *sub-exponential* if for every constant  $c > 0$ ,  $f(n) \leq 2^{n^c}$  for all large  $n$ . A language  $L$  is *exponentially dense* if there exists a constant  $c > 0$  such that  $||L^{\leq n}|| \geq 2^{n^c}$  for all large  $n$ . Let  $\text{Density}(d(n))$  be the class of languages  $L$  such that  $||L^{\leq n}|| \leq d(n)$  for all large  $n$ . For any language  $L$ , define its *complementary language*, denoted by  $\bar{L}$ , to be  $\Sigma^* - L$ .

For a function  $t(n) : N \rightarrow N$ ,  $\text{DTIME}(t(n))$  ( $\text{NTIME}(t(n))$ ) is the class of languages accepted by (non)-deterministic Turing machines in time  $t(n)$ .  $P$  ( $NP$ ) is the class of languages accepted by (non-)deterministic polynomial-time Turing

machines. E (NE) is the class of languages accepted by (non-)deterministic Turing machines in  $2^{O(n)}$  time. EXP (NEXP) is the class of languages accepted by (non-)deterministic Turing machines in time  $2^{n^{O(1)}}$ . TALLY is the class of languages contained in  $1^*$  and SPARSE is the class of languages in  $\cup_{c=1}^{\infty} \text{Density}(n^c)$ . Clearly, TALLY is a subclass of SPARSE. We use P-Sel to denote the class of p-selective sets [18]. For any language  $L$  and function  $h : N \mapsto N$ , let  $L/h = \{x : \langle x, h(|x|) \rangle \in L\}$ . For any class  $\mathcal{C}$  of languages,  $\text{co}\mathcal{C}$  is the class of languages  $L$  such that  $\bar{L} \in \mathcal{C}$  and  $\mathcal{C}/h$  is the class of languages  $L$  such that  $L = L'/h$  for some  $L' \in \mathcal{C}$ .

For two languages  $A$  and  $B$ , define the following reductions: (1)  $A$  is *polynomial-time many-one reducible* to  $B$ ,  $A \leq_m^p B$ , if there exists a polynomial-time computable function  $f : \Sigma^* \mapsto \Sigma^*$  such that for every  $x \in \Sigma^*$ ,  $x \in A$  if and only if  $f(x) \in B$ . (2)  $A$  is *polynomial-time truth-table reducible* to  $B$ ,  $A \leq_{tt}^p B$ , if there exists a polynomial-time computable function  $f : \Sigma^* \mapsto \Sigma^*$  such that for every  $x \in \Sigma^*$ ,  $f(x) = \langle y_1, y_2, \dots, y_m, T \rangle$ , where  $y_i \in \Sigma^*$  and  $T$  is the encoding of a circuit, and  $x \in A$  if and only if  $T(B(y_1)B(y_2) \cdots B(y_m)) = 1$ . (3)  $A$  is *polynomial-time Turing reducible* to  $B$ ,  $A \leq_T^p B$ , if there exists a polynomial-time oracle Turing machine  $M$  such that  $M^B$  accepts  $A$ . (4)  $A$  is *exponential-time Turing reducible* to  $B$ ,  $A \leq_T^{\text{EXP}} B$ , if there exists an exponential-time oracle Turing machine  $M$  such that  $M^B$  accepts  $A$ . (5) We say  $A \leq_1^p B$  if  $A \leq_m^p B$  via a reduction  $f$  that is one-to-one.

For a nondeterministic Turing machine  $M$ , denote  $M(x)[y]$  to be the computation of  $M$  with input  $x$  on a path  $y$ . If  $M(x)$  is an oracle Turing machine,  $M^A(x)[y]$  is the computation of  $M$  with input  $x$  on a path  $y$  with oracle  $A$ .

For two languages  $A$  and  $B$ , define the following nondeterministic reductions: (1)  $A$  is *nondeterministically polynomial-time many-one reducible* to  $B$ ,  $A \leq_m^{\text{NP}} B$ , if there exists a polynomial-time nondeterministic Turing machine  $M$  and a polynomial  $p(n)$  such that for every  $x$ ,  $x \in A$  if and only if there exists a path  $y$  of length  $p(|x|)$  with  $M(x)[y] \in B$ . (2)  $A$  is *nondeterministically polynomial-time truth-table reducible* to  $B$ ,  $A \leq_{tt}^{\text{NP}} B$ , if there exists a polynomial-time nondeterministic Turing machine  $M$  and a polynomial  $p(n)$  such that for every  $x \in \Sigma^*$ ,  $x \in A$  if and only if there is at least one  $y \in \Sigma^{p(|x|)}$  such that  $M(x)[y] = (z_1, \dots, z_m, T)$ , where  $z_i \in \Sigma^*$ ,  $T$  is the encoding of a circuit, and  $T(B(z_1), \dots, B(z_m)) = 1$ . (3)  $A$  is *nondeterministically polynomial-time Turing reducible* to  $B$ ,  $A \leq_T^{\text{NP}} B$ , if there exists a polynomial-time nondeterministic oracle Turing machine  $M$  and a polynomial  $p$  such that for every  $x \in \Sigma^*$ ,  $x \in A$  if and only if there is at least one  $y \in \Sigma^{p(|x|)}$  such that  $M^B(x)[y]$  accepts. (4)  $A$  is *strongly nondeterministically polynomial-time many-one reducible* to  $B$ ,  $A \leq_m^{\text{SN}} B$ , if there exists a polynomial-time nondeterministic Turing machine  $M(\cdot)$  such that  $x \in A$  if and only if 1)  $M(x)[y] \in B$  for all  $y$  that  $M(x)[y]$  is not empty; 2)  $M(x)[y]$  is not empty for at least one  $y \in \Sigma^{n^{O(1)}}$ .

For a function  $g(n) : N \rightarrow N$ , we use  $A \leq_{g(n)-tt}^{\text{NP}} B$  to denote that  $A \leq_{tt}^{\text{NP}} B$  via a polynomial-time computable function  $f$  such that for every  $x \in \Sigma^n$ ,  $f(x, y) = (z_1, \dots, z_m, T)$  and  $m \leq g(n)$ . We use  $A \leq_{c-tt}^{\text{NP}} B$  to denote that  $A \leq_{c-tt}^{\text{NP}} B$  for some constant  $c > 0$ . For  $t \in \{p, \text{NP}, \text{EXP}\}$ , we use  $A \leq_{g(n)-T}^t$  to denote

that  $A \leq_T^t$  via a Turing machine  $M$  that makes at most  $g(n)$  queries on inputs of length  $n$ .

For a class  $\mathcal{C}$  of languages, we use  $R_r^t(\mathcal{C})$  ( $R_{g(n)-r}^t(\mathcal{C})$ ) to denote the reduction closure of  $\mathcal{C}$  under the reduction  $\leq_r^t$  ( $\leq_{g(n)-r}^t$ ), where  $r \in \{p, \text{NP}, \text{SN}, \text{EXP}\}$  and  $r \in \{m, tt, T\}$ . We also use conventional notations for common reduction closures such as  $\text{P}^{\text{NP}} = \text{P}_T(\text{NP}) = R_T^p(\text{NP})$  and  $\text{EXP}_{n^k-T}^{\text{NP}} = \text{EXP}_{n^k-T}(\text{NP}) = R_{n^k-T}^{\text{EXP}}(\text{NP})$ . For a function  $l : N \mapsto N$  and a reduction closure  $R$ , we use  $R[l(n)]$  to denote the same reduction closure as  $R$  except that the reductions make queries of length at most  $l(n)$  on inputs of length  $n$ .

A function  $f(n)$  from  $N$  to  $N$  is time constructible if there exists a Turing machine  $M$  such that  $M(n)$  outputs  $f(n)$  in  $f(n)$  steps.

### 3 Separating NE from $R_{n^{o(1)}-T}^{\text{NP}}(\text{TALLY})$

In this section, we present the main result that NE cannot be reduced to TALLY via polynomial time Turing reduction with the number of queries bounded by  $n^{1/\alpha(n)}$  for some polynomial time computable nondecreasing function  $\alpha(n)$  (for example,  $\alpha(n) = \log \log n$ ). The proof is a combination of the translational method and the point of view from Kolmogorov complexity.

**Lemma 1.** *Assume that function  $g(n) : N \rightarrow N$  is nondecreasing unbounded and function  $2^{\lfloor n \rfloor^{g(n)}/2}$  is time constructible. Then there exists a language  $L_0 \in \text{DTIME}(2^{n^{g(n)}})$  such that  $\|L_0^n\| = 1$ , and for every Turing machine  $M$ ,  $M$  cannot generate any sequence in  $L_0^n$  with any input of length  $n - \log n$  in  $2^{n^{o(1)}}$  time for large  $n$ .*

*Proof.* We use the diagonal method to construct the language  $L_0$ . Let  $M_1, \dots, M_k, \dots$  be an enumeration of all Turing transducers.

Construction:

Input  $n$ ,

Simulate each machine  $M_i(y)$  in  $2^{n^{g(n)}/2}$  steps for  $i = 1, \dots, \log n$  and all  $y$  of length  $n - \log n$ .

Find a string  $x$  of length  $n$  such that  $x$  cannot be generated by any machine among  $M_1, \dots, M_{\log n}$  with any input of length at most  $n - \log n$ .

Put  $x$  into  $L_0$ .

End of Construction

There are at most  $2^{n-\log n+1}$  strings of length at most  $n - \log n$ . Those  $\log n$  machines can generate at most  $2^{n-\log n+1} \log n < 2^n$  strings. Since generating each string takes  $2^{n^{g(n)}/2}$  steps. This takes  $2^n \cdot 2^{n^{g(n)}/2} < 2^{n^{g(n)}}$  time for all large  $n$ .  $\square$

**Theorem 1.** *Assume that  $t(n)$  and  $f(n)$  are time constructible nondecreasing functions from  $N$  to  $N$  such that 1)  $t(f(n))$  is  $\Omega(2^{n^{g(n)}})$  for some nondecreasing unbounded function  $g(n)$ , and 2) for any constant  $c > 0$ ,  $f(n) \leq$*

$t(n)^{1/c}$  and  $f(n) \geq 4n$  for all large  $n$ . If  $q(n)$  is a nondecreasing function with  $q(f(n))(\log f(n)) = o(n)$ , then  $\text{NTIME}(t(n)) \not\subseteq R_{q(n)-T}^{\text{NP}}(\text{TALLY})$ .

*Proof.* We apply a translational method to obtain such a separation. We prove by contradiction and assume that  $\text{NTIME}(t(n)) \subseteq R_{q(n)-T}^{\text{NP}}(\text{TALLY})$ . Without loss of generality, we assume that  $q(n) \geq 1$ .

Let  $L$  be an arbitrary language in  $\text{DTIME}(t(f(n)))$ . Define  $L_1 = \{x10^{f(|x|)-|x|-1} : x \in L\}$ . It is easy to see that  $L_1$  is in  $\text{DTIME}(t(n))$  since  $L$  is in  $\text{DTIME}(t(f(n)))$ .

By our hypothesis, there exist a set  $A_1 \in \text{TALLY}$  such that  $L_1 \leq_{q(n)-T}^{\text{NP}} A_1$  via some polynomial time nondeterministic oracle Turing machine  $M_1$ , which runs in polynomial  $n^{c_1}$  time for all large  $n$ .

Let  $L_2 = \{(x, (e_1, \dots, e_m, a_1 \dots a_m)) : \text{there is a path } y \text{ such that } M_1^{A_1}(x10^{f(|x|)-|x|-1})[y] \text{ accepts and queries } 1^{e_1}, \dots, 1^{e_m} \text{ in path } y \text{ and receives answers } a_1 = A_1[1^{e_1}], \dots, a_m = A_1[1^{e_m}] \text{ respectively}\}$ . Since  $M_1$  runs in time  $n^{c_1}$  and  $f(n) = t(n)^{o(1)}$ , we have  $L_2$  is in  $\text{NTIME}(f(n)^{c_1}) \subseteq \text{NTIME}(t(n))$ .

By our hypothesis, there exists a set  $A_2 \in \text{TALLY}$  such that  $L_2 \leq_{q(n)-T}^{\text{NP}} A_2$  via some polynomial time nondeterministic oracle Turing machine  $M_2()$ .

Therefore, for every string  $x$ , in order to generate  $x \in L$ , we need to provide  $(e_1, \dots, e_m, a_1 \dots a_m)$  and  $(z_1, \dots, z_t, b_1 \dots b_t)$  such that there exists a path  $y_1$  that  $M_1^{A_1}(x10^{f(|x|)-|x|-1})[y_1]$  queries  $1^{e_1}, \dots, 1^{e_m}$  with answers  $a_i = A_1(1^{e_i})$  for  $i = 1, \dots, m$  and there exists a path  $y_2$  that  $M_2^{A_2}(x, (e_1, \dots, e_m, a_1 \dots a_m))[y_2]$  queries  $1^{z_1}, \dots, 1^{z_t}$  with  $b_i = A_2(1^{z_i})$  for  $i = 1, \dots, t$ . Let  $n^{c_2}$  be the polynomial time bound for  $M_2$ . We have the following Turing machine  $M^*$ .

$M^*()$ :

Input: a string of  $u$  of length  $o(n)$ .

If  $u$  does not have the format  $(e_1, \dots, e_m, a_1 \dots a_m)(z_1, \dots, z_t, b_1 \dots b_t)$ , then return  $\lambda$  (empty string).

Extract  $(e_1, \dots, e_m, a_1 \dots a_m)$  and  $(z_1, \dots, z_t, b_1 \dots b_t)$  from  $u$ .

For each  $x$  of length  $n$

Simulate  $M_2^{A_2}(x, (e_1, \dots, e_m, a_1 \dots a_m))$  with the query help from  $(z_1, \dots, z_t, b_1 \dots b_t)$  (by assuming that  $b_i = A_2(1^{z_i})$  for  $i = 1, \dots, t$ ).

Output  $x$  if it accepts.

It is easy to see that  $M^*$  takes  $2^{n^{O(1)}}$  time. There exists a path  $y_1$  such that  $M_1^{A_1}(x10^{f(|x|)-|x|-1})[y_1]$  makes at most  $q(f(n))$  queries, where  $n = |x|$ . So, we have  $m \leq q(f(n))$ ,  $e_i \leq f(n)^{c_1}$  and  $|e_i| \leq c_1(\log f(n))$ . Therefore,  $(e_1, \dots, e_m, a_1, \dots, a_m)$  has length  $h \leq 2(O(q(f(n)) \log f(n)) + q(f(n))) = O(q(f(n)) \log f(n)) = o(n)$ . There exists a path  $y_2$  such that  $M_2^{A_2}((x, (e_1, \dots, e_m, a_1 \dots a_m)))[y_2]$  makes at most  $q(n+h)$  queries to  $1^{z_1}, \dots, 1^{z_t}$ . The length of  $(x, (e_1, \dots, e_m, a_1 \dots a_m))$  is at most  $2(n+h) \leq 4n$ . So,  $t \leq q(4n)$ . Therefore,  $(z_1, \dots, z_t, b_1 \dots b_t)$  has length  $q(4n) \log((4n)^{c_2}) = O(q(f(n)) \log f(n)) = o(n)$ . Therefore, the total length of  $(e_1, \dots, e_m, a_1 \dots a_m)$  and  $(z_1, \dots, z_t, b_1 \dots b_t)$  is  $o(n)$ . So,  $(e_1, \dots, e_m, a_1 \dots a_m)$  and  $(z_1, \dots, z_t, b_1 \dots b_t)$  can be encoded into a string of length  $o(n)$ . Let  $L$  be the language  $L_0$  in Lemma 1. This contradicts

Lemma 1 since a string of length  $n$  can be generated by  $M^*$  with the input  $(e_1, \dots, e_m, a_1 \dots a_m)(z_1, \dots, z_t, b_1 \dots b_t)$  of length  $o(n)$ .  $\square$

**Corollary 1.**  $\text{NE} \not\subseteq R_{n^{1/\alpha(n)}-T}^{\text{NP}}(\text{TALLY})$  for any polynomial computable non-decreasing unbounded function  $\alpha(n) : N \rightarrow N$ .

*Proof.* Define  $g(n) = \lfloor \sqrt{\alpha(n)} \rfloor$ ,  $f(n) = n^{g(n)}$ ,  $q(n) = n^{\frac{1}{\alpha(n)}}$ , and  $t(n) = 2^n$ . By Theorem 1, we have that  $\text{NTIME}(t(n)) \not\subseteq R_{q(n)-T}^{\text{NP}}(\text{TALLY})$ . We have that  $\text{NE} \not\subseteq R_{n^{1/\alpha(n)}-T}^{\text{NP}}(\text{TALLY})$  since  $R_{n^{1/\alpha(n)}-T}^{\text{NP}}(\text{TALLY})$  is closed under  $\leq_m^P$  reductions and there exists a  $\text{NE}\text{-}\leq_m^P$ -hard set in  $\text{NTIME}(t(n))$ .  $\square$

It is natural to extend Theorem 1 by replacing TALLY by SPARSE. We feel it is still hard to separate NE from  $R_m^{\text{NP}}(\text{SPARSE})$ . The following theorem shows that we can separate NE from  $R_m^{\text{SN}}(\text{SPARSE})$ . Its proof is another application of the combination of translational method with Kolmogorov complexity point of view.

**Theorem 2.** Assume that  $t_0(n)$  and  $t(n)$  are time constructible nondecreasing functions from  $N$  to  $N$  such that for any positive constant  $c$ ,  $t_0(n)^c = O(t(n))$  and  $t(t_0(n)) > 2^{n^{\alpha(n)}}$  for some nondecreasing unbounded function  $\alpha(n)$ , and  $d(n)$  is a nondecreasing function such that  $d((t_0(n))^c) = 2^{n^{\alpha(1)}}$ . Then  $\text{NTIME}(t(n)) \not\subseteq R_m^{\text{SN}}(\text{Density}((d(n))))$ .

*Proof.* Assume that  $\text{NTIME}(t(n)) \subseteq R_m^{\text{SN}}(\text{Density}(d(n)))$ . We will derive a contradiction.

Construction of  $L^n$ : Let  $S$  be the sequence of length  $n^{1+\frac{1}{k}}$  in  $L_0$  of Lemma 1 with  $g(n) = \alpha(n)$ , where  $n = m^k$  and  $k$  is a constant (for example  $k = 100$ ). Assume that  $S = y_1 y_2 \dots y_{m^2}$ , where each  $y_i$  is of length  $m^{k-1}$ . Let  $L^n = \{y_{i_1} y_{i_2} \dots y_{i_m} : 1 \leq i_1 < i_2 < \dots < i_m \leq m^2\}$ . Define  $\text{block}(x) = \{y_{i_1}, y_{i_2}, \dots, y_{i_m}\}$  if  $x = y_{i_1} y_{i_2} \dots y_{i_m}$ . Clearly,  $L^n$  contains  $\binom{m^2}{m}$  elements.

Define  $L_1 = \{x 10^{t_0(|x|)-|x|-1} : x \in L\}$ . It is easy to see that  $L_1$  is in  $\text{DTIME}(t(n))$  since  $L$  is in  $\text{DTIME}(t(t_0(n)))$ .

By our hypothesis, there exists a set  $A_1 \in \text{Density}(d(n))$  such that  $L_1 \leq_m^{\text{SN}} A_1$  via some polynomial time nondeterministic Turing machine  $f()$ , which runs in polynomial time  $n^{c_1}$ . For a sequence  $z$  and integer  $n$ , define  $H(z, n) = \{x \in L^n : f(x)[y] = z \text{ for some path } y\}$ . Therefore, there are a sequence  $z$  such that

$$\|H(z, n)\| \geq \frac{\binom{m^2}{m}}{d((t_0(n))^{c_1})}.$$

Let  $L_2 = \{(x, y) : |x| = |y| \text{ and there are paths } z_1 \text{ and } z_2 \text{ such that } f(x 10^{t_0(|x|)-|x|-1})[z_1] = f(y 10^{t_0(|y|)-|y|-1})[z_2]\}$ . Since  $f()$  runs in polynomial time and  $t_0(n)^{c_1} = O(t(n))$ , we have  $L_2 \in \text{NTIME}(t(n))$ .

By our hypothesis, there exists a set  $A_2 \in \text{Density}(d(n))$  with such that  $L_2 \leq_m^{\text{SN}} A_2$  via some polynomial time nondeterministic Turing machine  $u()$ .

Define  $L_2(x) = \{x_1 : (x, x_1) \in L_2\}$ . There exists  $x \in L^n$  such that

$$\|L_2(x)\| \geq \frac{\binom{m^2}{m}}{d((t_0(n))^{c_1})}.$$

Define  $L'_2(x, x') = \{x_2 : u(x, x')[z'] = u(x, x_2)[z_2] \text{ for some paths } z' \text{ for } u(x, x') \text{ and } z_2 \text{ for } u(x, x_2)\}$ . There exists  $x' \in L_2(x)$  such that  $L'_2(x, x')$  contains at least  $\frac{\binom{m^2}{m}}{d((t_0(n))^{e_1})d((t_0(n))^{e_2})}$  elements. We fix  $x$  and  $x'$ .

Since  $|\text{block}(x) \cup \text{block}(x')| \leq 2m$ , those  $2m$  strings in  $\text{block}(x) \cup \text{block}(x')$  can generate at most  $\binom{2m}{m} < \frac{\binom{m^2}{m}}{d((t_0(n))^{e_1})d((t_0(n))^{e_2})}$  sequences of length  $n$  in  $L^=n$  for all large  $n$ . Therefore, there is a string  $x_3 \in L^=n$  such that  $x_3 \in L'_2(x, x')$  and  $\text{block}(x_3) \not\subseteq \text{block}(x) \cup \text{block}(x')$ .

This makes it possible to compress  $S$ . We can encode the strings  $x, x'$  and those blocks of  $S$  not in  $x_3$ . The total time is at most  $2^{n^{O(1)}}$  to compress  $S$ .

Let  $y_{i_1} < y_{i_2} < \dots < y_{i_{m^2}}$  be the sorted list of  $y_1, y_2, \dots, y_{m^2}$ . Let  $(i_1, i_2, \dots, i_{m^2})$  be encoded into a string of length  $O(m^2 \log n)$ . Define  $Y = y_{j_1} y_{j_2} \dots y_{j_t}$ , where  $\{y_{j_1}, y_{j_2}, \dots, y_{j_t}\} = \{y_1, \dots, y_{m^2}\} - (\text{block}(x) \cup \text{block}(x') \cup \text{block}(x_3))$ .

We can encode  $(i_1, i_2, \dots, i_{m^2})$  into the format  $0a_1 0a_2 \dots 0a_u 11$ . We have sequence  $Z = (i_1, i_2, \dots, i_{m^2}) x x' Y$  to generate  $S$  in  $2^{n^{O(1)}}$  time. Since at least one block  $y_i$  among  $y_1, y_2, \dots, y_{m^2}$  is missed in  $\text{block}(x x' Y)$ ,  $|y_i| = m^{k-1}$ , and  $|(i_1, i_2, \dots, i_{m^2})| < m^3$ , it is easy to see that  $|Z| \leq n - (\log n)^2$ . This brings a contradiction.  $\square$

**Corollary 2.**  $\text{NE} \not\subseteq R_m^{SN}(\text{SPARSE})$ .

*Proof.* Let  $t(n) = 2^n$ ,  $t_0(n) = n^{\log n}$ , and  $d(n) = n^{\log n}$ . Apply Theorem 2.  $\square$

## 4 On the differences between NE and NP

In this section we investigate the differences between NE-hard sets and NP sets. We use the following well-known result:

**Lemma 2 ([8]).** *Let  $H$  be  $\leq_m^p$ -hard for NE and  $A \in \text{NE}$ . Then  $A \leq_1^p H$ .*

**Theorem 3.** *For every set  $H$  and  $A \subseteq H$  such that  $H$  is  $\leq_m^p$ -hard for NE and  $A \in \text{NP}$ , there exists another set  $A' \subseteq H$  such that  $A' \in \text{NP}$  and  $A' - A$  is not of subexponential density.*

*Proof.* Fix  $H$  and  $A$  as in the premise and let  $A \in \text{NTIME}(n^c)$  for some constant  $c > 1$ . Let  $\{NP_i\}_i$  be an enumeration of all nondeterministic polynomial-time Turing machines such that the computation  $NP_i$  on  $x$  can be simulated nondeterministically in time  $2^{O((|i| + \log(|x|))^2)}$  [8]. Define  $S = \{\langle i, x, y \rangle : x, y \in \Sigma^* \text{ and } NP_i \text{ accepts } x\}$ . Clearly  $S$  belongs to NEXP and therefore  $S$  is many-one reducible to  $H$  via some polynomial-time computable one-one function  $f$ . Suppose  $f$  can be computed in time  $n^d$  for some  $d > 1$ . By Cook [4], let  $B \in \text{NP} - \text{NTIME}(n^{2cd})$ . Suppose  $B = L(NP_i)$  for some  $i$ . For each  $x \in \Sigma^*$ , define  $T_x = \{z : \exists y(|x| = |y|/2 \leq |z| \text{ and } z = f(\langle i, x, y \rangle))\}$ . Let  $T = \bigcup_{x \in B} T_x$ . Clearly  $T \in \text{NP}$ . Since  $f$  reduces  $S$  to  $H$ ,  $T_x \subseteq H$  for all  $x \in B$  and therefore  $T \subseteq H$ . We now establish the following claims:

**Claim 1** For infinitely many  $x \in B$ ,  $A \cap T_x = \emptyset$ .

*Proof.* Suppose not. Consider the following machine  $M$ :

- 0 On input  $x$
- 1 Guess  $y$  with  $|y| = 2|x|$ ;
- 2 Compute  $z = f(\langle i, x, y \rangle)$ ;
- 3 Accept  $x$  if and only if  $|z| \geq |x|$  and  $z \in A$ .

Assume  $x \in B$  and  $A \cap T_x \neq \emptyset$ . Let  $z \in A \cap T_x$  and hence there exists  $y$  with  $|y|/2 = |x| \leq |z|$  and  $z = f(\langle i, x, y \rangle)$ . Thus,  $M$  accepts  $x$  if it correctly guess  $y$  in line 1. Now assume  $x \notin B$ . Then  $T_x \subseteq \overline{B}$  and hence  $A \cap T_x = \emptyset$ . Thus, for any  $z$  computed in line 3,  $z \notin A$ . So  $M$  does not accept  $x$ . This shows that  $M$  decides  $B$  for all but finitely many  $x$ . However, the machine  $M$  runs in time  $O(((2|x|)^d)^c) = O(|x|^{cd})$  for sufficiently large  $x$ , which contradicts that  $B \notin \text{NTIME}(n^{2cd})$ .  $\square$

**Claim 2:** For any infinite set  $R$ , the set  $\cup_{x \in R} T_x$  is not in  $\text{Density}(f(n))$  for any sub-exponential function  $f : N \rightarrow N$ .

*Proof.* Let  $R$  be an infinite set and  $T' = \cup_{x \in R} T_x$ . Fix a string  $x$ . Since  $f$  is a one-one function,  $\|\{f(\langle i, x, y \rangle)\}_{|y|=2|x|}\| = 2^{2|x|}$ . Since there are only  $2^{|x|}$  of strings of length less than  $|x|$ , it follows that there are at least  $2^{2|x|} - 2^{|x|} \geq 2^{|x|}$  many strings in  $T_x$ . Note that the strings in  $T_x$  have lengths at most  $\Theta(|x|^d)$  and hence,  $\|(T')^{\leq \Theta(|x|^d)}\| \geq 2^{|x|}$ . Since  $x$  is arbitrary, this shows that  $\cup_{x \in R} T_x$  is not  $\text{Density}(f(n))$  for any sub-exponential function  $f : N \rightarrow N$ .  $\square$

Now Let  $A' = A \cup T$ . By Claims 1 and 2,  $A'$  clearly has all the desired properties.  $\square$

Theorem 3 shows that many-one-hard sets for NE are very different from their NP subsets. Namely they're not even sub-exponentially close to their NP subsets. Next we show a stronger result for many-one-hard sets for coNE. We show that the difference between a many-one-hard set for coNE and any of its NP subset has exponential density.

**Theorem 4.** *Assume that  $H$  is a many-one-hard set for coNE and  $t(n) : N \rightarrow N$  is a sub-exponential function. Then for any  $A \subseteq H$  with  $A \in \text{NTIME}(t(n))$ , there exists another set  $A' \subseteq H$  such that  $A' \in \text{NP}$ ,  $A' \cap A = \emptyset$ , and  $A'$  is exponentially dense.*

*Proof.* Fix  $H$  and  $A$  as in the premise. By a result of Fu et al. [7, Corollary 4.2],  $H' = \overline{H} \cup A$  is many-one hard for NE. Now let  $f$  be a polynomial-time one-one reduction from  $0\Sigma^*$  to  $H'$  and suppose  $f$  is computable in time  $n^d$ . Let  $A' = \{z : z = f(1x) \text{ for some } x \text{ with } |x| \leq 2|z|\}$ . Clearly  $A' \in \text{NP}$  and  $A' \subseteq \overline{H'}$ . Therefore  $A' \subseteq H - A$ . It remains to show that  $A'$  is exponentially dense. For any  $n > 0$ , let  $F_n = \{f(1x)\}_{|x|=2n}$ . Since  $f$  is one-one,  $\|F_n\| = 2^{2n}$ . As there are only  $2^n$  strings of length less than  $n$ , it follows that there are at least  $2^{2n} - 2^n \geq 2^n$  many strings in  $F_n$  belonging to  $A'$  for each  $n > 0$ . Note that the maximal length of a string in  $F_n$  is  $(2n+1)^d$ . This shows that  $(A')^{\leq (2n+1)^d} \geq 2^n$  for each  $n > 0$  and hence,  $A'$  is exponentially dense.  $\square$

**Corollary 3.** *Assume that  $H$  is a  $\leq_m^P$ -hard set for coNE. Then for  $A \subseteq H$  with  $A \in NP$ , there exists another subset  $A' \subseteq H$  such that  $A' \in NP$ ,  $A' \cap A = \emptyset$ , and  $A'$  is exponentially dense.*

## 5 Separating NE from $P_{n^k-T}^{NP}$ for nonuniform reductions

In this section we generalize Mocas's result [14] that  $NEXP \not\subseteq P_{n^c-T}(NP)$  for any constant  $c > 0$  to non-uniform Turing reductions.

**Lemma 3.** *For any positive constants  $k, k' > 0$ ,  $EXP_{n^k-T}^{NP} \not\subseteq P_{n^k-T}^{NP}/n^{k'}$ .*

*Proof.* Burtschick and Linder [2] showed that  $DTIME(2^{4f(n)}) \not\subseteq DTIME(2^{f(n)})/f(n)$  for any function  $f : N \rightarrow N$  with  $n \leq f(n) < 2^n$ . Applying their result with  $f(n) = n^{k'}$  yields  $EXP \not\subseteq P/n^{k'}$  for any  $k' > 0$ . The lemma follows by noting the fact that Burtschick and Linder's result also holds relative to any oracle.  $\square$

**Theorem 5.** *For any positive constants  $k, k' > 0$ ,  $NEXP \not\subseteq P_{n^k-T}^{NP}/n^{k'}$ .*

*Proof.* Assume that  $NEXP \subseteq P_{n^k-T}^{NP}/n^{k'}$  for some  $k, k' > 0$ . Since  $EXP_{n^k-T}^{NP} \subseteq P_T^{NEXP}[n^{k+1}]$  [14], we have  $EXP_{n^k-T}^{NP} \subseteq P_T(P_{n^k-T}^{NP}/n^{k'})[n^{k+1}] \subseteq P_T^{NP}/(n^{k+1})^{k'} \subseteq NEXP/n^{(k+1)k'} \subseteq (P_{n^k-T}^{NP}/n^{k'})/n^{(k+1)k'} \subseteq P_{n^k-T}^{NP}/n^{k''}$  for some  $k'' > 0$ . The last inclusion is a contradiction to Lemma 3.  $\square$

Since any NEXP set can be easily padded to an NE set, we immediately obtain the following corollary:

**Corollary 4.** *For any positive constants  $k, k' > 0$ ,  $NE \not\subseteq P_{n^k-T}^{NP}/n^{k'}$ .*

**Lemma 4.** *For any  $k > 0$ ,  $P_{n^k-T}(NP \oplus P\text{-Sel}) \subseteq P_{n^k-T}(NP)/n^k$ .*

*Proof.* Assume that  $L \in P_{n^k-T}(NP \oplus P\text{-Sel})$  via polynomial time Turing reduction  $D$ . Let  $A$  be a P-selective set with order  $\preceq$  such that  $A$  is an initial segment with  $\preceq$  and  $L \in P_{n^k-T}(SAT \oplus A)$  via  $D$ . Let  $y$  be the largest element in  $A$  (with the order  $\preceq$ ) queried by  $D^{SAT \oplus A}$  among all inputs of length  $\leq n$ . It is easy to see that  $y$  can be generated by simulating  $D$  with advice of length  $n^k$ . When we compute  $D^{SAT \oplus A}(x)$ , we handle the queries to  $A$  by comparing with  $y$ .  $\square$

By Theorem 5 and Lemma 4, we have the following theorem.

**Theorem 6.** *For any constant  $k > 0$ ,  $NE \not\subseteq P_{n^k-T}(NP \oplus P\text{-Sel})$ .*

## 6 Conclusions

We derived some separations between NE and other nondeterministic complexity classes. The further research along this line may be in separating NE from  $P_T^{NP}$ , and NE from BPP, which is a subclass of P/Poly.

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