

## BASIC GRAPH THEORY

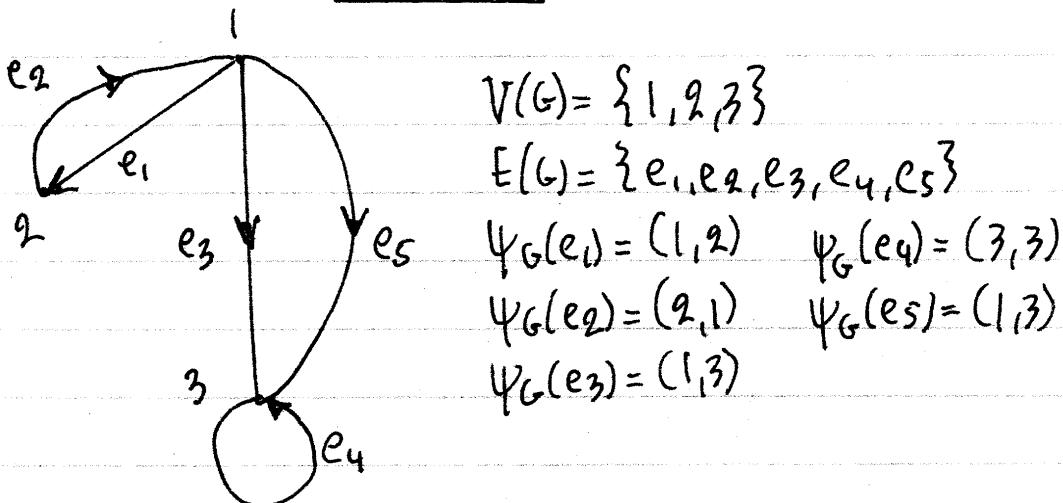
### ► Directed Graphs

Def: A directed graph  $G$  is an object that consists of

- a) A set of vertices  $V(G)$
- b) A set of edges  $E(G)$
- c) An incidence mapping  $\psi_G: E(G) \rightarrow V(G) \times V(G)$  that maps every edge  $e \in E(G)$  to a unique pair of vertices  $(u_1, u_2) \in V(G) \times V(G)$ .

► Graphical representation: Each vertex  $u \in V(G)$  is represented as a point on a plane. Each edge  $e \in E(G)$  with  $\psi_G(e) = (u_1, u_2)$  is represented as an arrow from  $u_1$  to  $u_2$ . If  $\psi_G(e) = (u, u)$  then the edge is a loop and is represented by an arrow that begins at  $u$  and loops back to terminate at  $u$ .

### EXAMPLE



→ In this example note that  $e_3 \neq e_5$  but nonetheless

$$\psi_G(e_3) = \psi_G(e_5)$$

→  $\psi_G$  can be also represented as a set

$$\psi_G \subseteq E(G) \times (V(G) \times V(G)) \text{ with}$$

$$\begin{aligned}\psi_G = \{ & (e_1, (1, 2)), (e_2, (2, 1)), (e_3, (1, 3)), \\ & (e_4, (3, 3)), (e_5, (1, 3)) \}.\end{aligned}$$

### ► Successor vertices

Def : Let  $G$  be a graph and let  $u_1, u_2 \in V(G)$  be two vertices. We say that

$u_2$  is successor of  $u_1 \Leftrightarrow \exists e \in E(G) : \psi_G(e) = (u_1, u_2)$

• notation : The set of all successors of a vertex  $u \in V(G)$  is denoted as:

$$\text{succ}(u) = \{ w \in V(G) \mid \exists e \in E(G) : \psi_G(e) = (u, w) \}$$

### EXAMPLE

In the previous example:

$$\text{succ}(1) = \{ 2, 3 \}$$

$$\text{succ}(2) = \{ 1 \}$$

$$\text{succ}(3) = \{ 3 \}$$

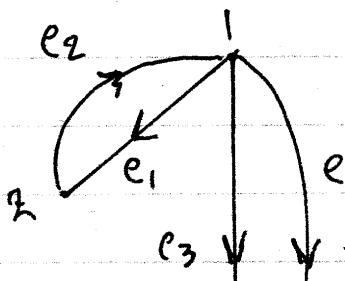
## ► Adjacency matrix

Let  $G$  be a graph with  $|V(G)| = n$  (i.e. with  $n$  vertices labeled as  $V(G) = \{u_1, u_2, u_3, \dots, u_n\}$ ). The adjacency matrix  $A(G) \in M_n(\mathbb{R})$  is an  $n \times n$  square matrix such that

$$\forall a, b \in [n]: [A(G)]_{ab} = |\{e \in E(G) \mid \psi_G(e) = (u_a, u_b)\}|$$

## EXAMPLE

For the graph in the previous example:



$$A(G) = \begin{bmatrix} A_{11} & A_{12} & A_{13} \\ A_{21} & A_{22} & A_{23} \\ A_{31} & A_{32} & A_{33} \end{bmatrix}$$

$$= \begin{bmatrix} 0 & 1 & 2 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

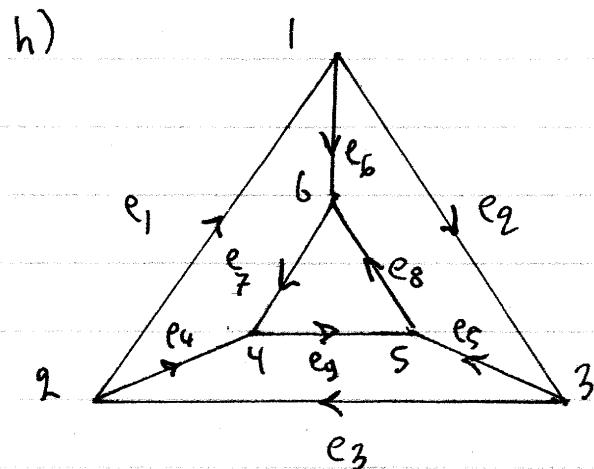
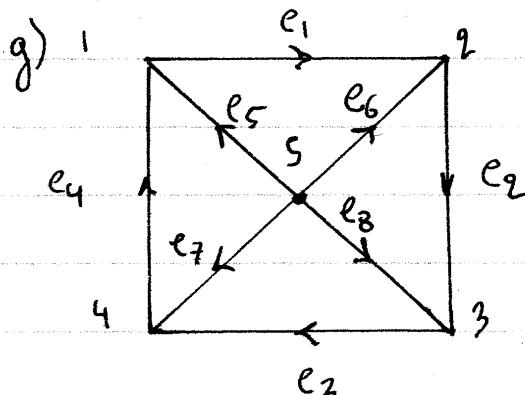
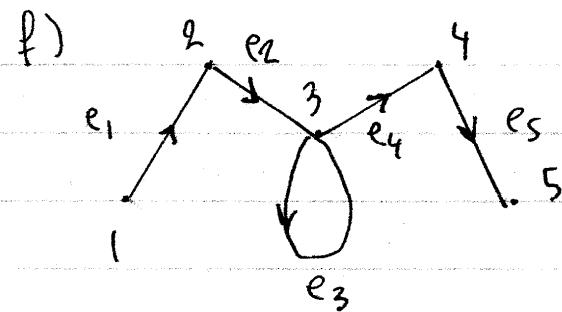
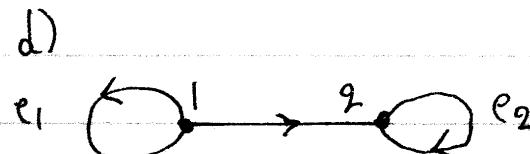
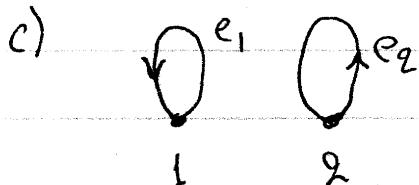
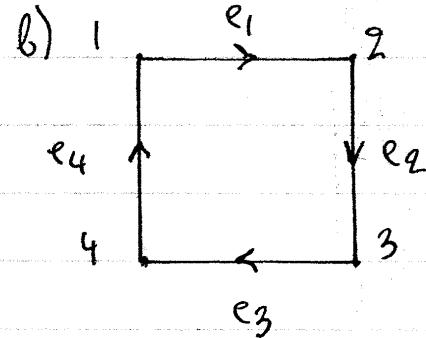
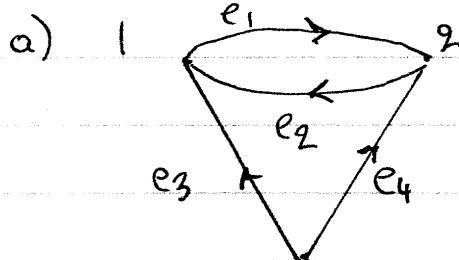
→ Adjacency matrices make it easy to define the concept of a simple graph. We say that a graph  $G$  is simple if and only if it contains no loops and no double or multiple edges. A rigorous definition is:

$$G \text{ simple} \Leftrightarrow \begin{cases} \forall a, b \in [V(G)] : A_{ab}(G) \in \{0, 1\} \\ \forall a \in [V(G)] : A_{aa}(G) = 0 \end{cases}$$

The first condition rules out multiple edges and the second condition rules out loops.

## EXERCISES

① Define the sets  $V(G)$ ,  $E(G)$ , the mapping  $\psi_G$ , and the adjacency matrix  $A(G)$  for the directed graphs shown below:



② Identify which of the above directed graphs is simple.

③ Draw the directed graphs  $G$  given by the following set theory definitions: and define the corresponding  $A(G)$

a)  $V(G) = \{1, 2, 3, 4\}$

$$E(G) = \{e_1, e_2, e_3, e_4, e_5, e_6\}$$

$$\psi_G(e_1) = (1, 3) \quad \psi_G(e_4) = (2, 4)$$

$$\psi_G(e_2) = (2, 2) \quad \psi_G(e_5) = (4, 3)$$

$$\psi_G(e_3) = (3, 1) \quad \psi_G(e_6) = (1, 1)$$

b)  $V(G) = \{2, 3\}$ ,  $E(G) = \emptyset$ ,  $\psi_G = \emptyset$

c)  $V(G) = \{1\}$ ,  $E(G) = \{e_1\}$ ,  $\psi_G(e_1) = (1, 1)$

d)  $V(G) = \{1, 2, 3\}$

$$E(G) = \{e_1, e_2, e_3, e_4, e_5\}$$

$$\psi_G = \{(e_1, (1, 1)), (e_2, (1, 3)), (e_3, (2, 3)), (e_4, (2, 3)), (e_5, (3, 3))\}$$

e)  $V(G) = \{1, 2, 3, 4\}$

$$E(G) = \{a, b, c, d, e, f, g, h\}$$

$$\psi_G(a) = (1, 1) \quad \psi_G(e) = (3, 3)$$

$$\psi_G(b) = (1, 2) \quad \psi_G(f) = (3, 4)$$

$$\psi_G(c) = (2, 2) \quad \psi_G(g) = (4, 4)$$

$$\psi_G(d) = (2, 3) \quad \psi_G(h) = (4, 1)$$

$$f) V(G) = \{1, 2\}$$

$$E(G) = \{e_1, e_2, e_3\}$$

$$\Psi_G = \{(e_1, (1, 1)), (e_2, (1, 2)), (e_3, (1, 1))\}$$

## ▼ Walks

Def: Let  $G$  be a directed graph. A walk  $w$  is an  $n$ -tuple of the form

$$w = (u_0, e_1, u_1, e_2, u_2, \dots, e_n, u_n)$$

of alternating edges/vertices such that

$$\{ \forall a \in [n] : e_a \in E(G) \}$$

$$\{ \forall a \in \{0\} \cup [n] : u_a \in V(G) \}$$

$$\{ \forall a \in [n] : \psi_G(e_a) = (u_{a-1}, u_a) \}$$

### ► Terminology

$$|w| = n \quad \leftarrow \text{length of the walk}$$

$$s(w) = u_0 \quad \leftarrow \text{initial vertex}$$

$$t(w) = u_n \quad \leftarrow \text{terminal vertex}$$

$$u_a(w) = u_a \quad \leftarrow \text{the } a\text{th vertex, counting from 0}$$

$$e_a(w) = e_a \quad \leftarrow \text{the } a\text{th edge, counting from 1}$$

$$W(G) \quad \leftarrow \text{the set of all walks on } G.$$

Def: Let  $G$  be a graph and choose two vertices  $u, v \in V(G)$ . We define

a) The set of all walks that begin with  $u$  and terminate at  $v$ :

$$W(G|u, v) = \{ w \in W(G) \mid s(w) = u \wedge t(w) = v \}$$

b) The set of all walks with length  $n$  that begin with  $u$  and terminate at  $v$ :

$$W_n(G|u, v) = \{ w \in W(G) \mid s(w) = u \wedge t(w) = v \wedge |w| = n \}$$

## ► Enumeration of walks

The set  $W(G|u,v)$  has an infinite number of elements. However, the set  $W_n(G|u,v)$  can be enumerated using the adjacency matrix according to the following statement.

Thm: Let  $G$  be a graph with  $V(G) = \{u_1, u_2, \dots, u_m\}$  and corresponding adjacency matrix  $A(G)$ . Then  $\forall a, b \in [m] : |W_n(G|u_a, u_b)| = [A^n(G)]_{ab}$

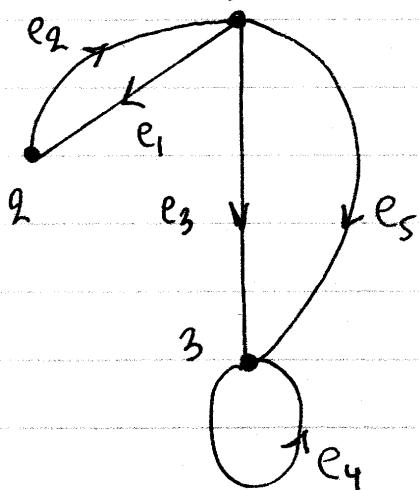
The  $n^{\text{th}}$  power  $A^n(G)$  of the adjacency matrix is defined recursively as follows:

$$\forall a, b \in [m] : [A^1(G)]_{ab} = [A(G)]_{ab}$$

$$\forall a, b \in [m] : \forall k \in \mathbb{N}^k : [A^{k+1}(G)]_{ab} = \sum_{c=1}^m [A^k(G)]_{ac} [A(G)]_{cb}$$

## EXAMPLE

Use the adjacency matrix to enumerate the walks with length 3 from vertex 1 to 3 for the following directed graph.



### Solution

We have

$$A(G) = \begin{bmatrix} 0 & 1 & 2 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \Rightarrow$$

$$\Rightarrow A^2(G) = A(G)A(G) = \begin{bmatrix} 0 & 1 & 2 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & 1 & 2 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} =$$

$$= \begin{bmatrix} 0 \cdot 0 + 1 \cdot 1 + 2 \cdot 0 & 0 \cdot 1 + 1 \cdot 0 + 2 \cdot 0 & 0 \cdot 2 + 1 \cdot 0 + 2 \cdot 1 \\ 1 \cdot 0 + 0 \cdot 1 + 0 \cdot 0 & 1 \cdot 1 + 0 \cdot 0 + 0 \cdot 0 & 1 \cdot 2 + 0 \cdot 0 + 0 \cdot 1 \\ 0 \cdot 0 + 0 \cdot 1 + 1 \cdot 0 & 0 \cdot 1 + 0 \cdot 0 + 1 \cdot 0 & 0 \cdot 2 + 0 \cdot 0 + 1 \cdot 1 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 2 \\ 0 & 1 & 2 \\ 0 & 0 & 1 \end{bmatrix} \Rightarrow$$

$$\Rightarrow A^3(G) = A^2(G) A(G) = \begin{bmatrix} 1 & 0 & 2 \\ 0 & 1 & 2 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & 1 & 2 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} =$$

$$= \begin{bmatrix} 1 \cdot 0 + 0 \cdot 1 + 2 \cdot 0 & 1 \cdot 1 + 0 \cdot 0 + 2 \cdot 0 & 1 \cdot 2 + 0 \cdot 0 + 2 \cdot 1 \\ 0 \cdot 0 + 1 \cdot 1 + 2 \cdot 0 & 0 \cdot 1 + 1 \cdot 0 + 2 \cdot 0 & 0 \cdot 2 + 1 \cdot 0 + 2 \cdot 1 \\ 0 \cdot 0 + 0 \cdot 1 + 1 \cdot 0 & 0 \cdot 1 + 0 \cdot 0 + 1 \cdot 0 & 0 \cdot 2 + 0 \cdot 0 + 1 \cdot 1 \end{bmatrix}$$

$$= \begin{bmatrix} 0 & 1 & 4 \\ 1 & 0 & 2 \\ 0 & 0 & 1 \end{bmatrix} \Rightarrow$$

$$\Rightarrow |W_3(G|1,3)| = [A^3(G)]_{13} = 4$$

Remark: By inspection, the 4 walks from vertex 1 to vertex 3 with length 3 can be easily identified as follows:

$$w_1 = (1, e_3, 3, e_4, 3, e_4, 3)$$

$$w_2 = (1, e_5, 3, e_4, 3, e_4, 3)$$

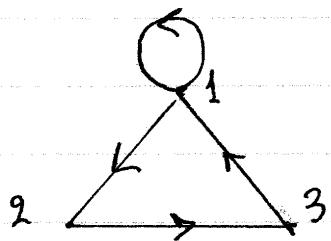
$$w_3 = (1, e_1, 2, e_2, 1, e_3, 3)$$

$$w_4 = (1, e_1, 2, e_2, 1, e_5, 3)$$

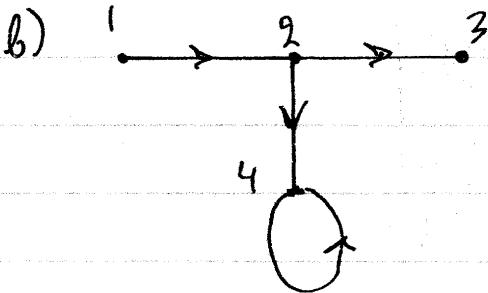
## EXERCISES

- ④ Enumerate the total number of open and closed walks of length 3 for the graphs shown below, using the adjacency matrix

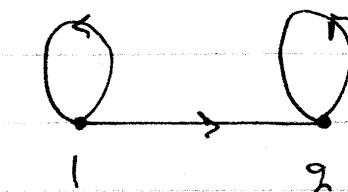
a)



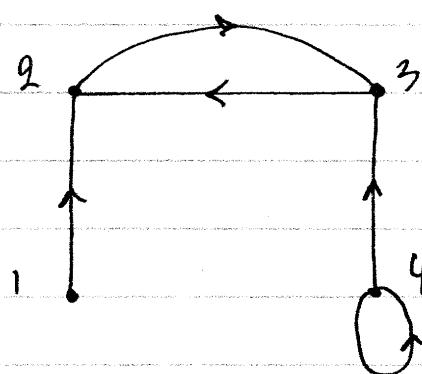
b)



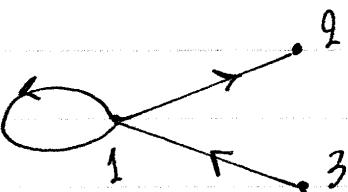
c)



d)



e)



f)

