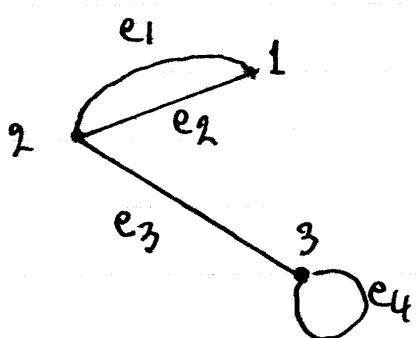


## GRAPH THEORY

### Graphs - Basic Terminology

- A graph  $G$  is an object that consists of
  - A set of vertices  $V(G)$
  - A set of edges  $E(G)$
  - An incidence mapping  $\psi_G$  which maps every edge to one or two vertices, thus  
 $\psi_G: E(G) \rightarrow P_1(V(G)) \cup P_2(V(G))$
- Thus it is understood that the edge  $e \in E(G)$  connects the vertices  $\psi_G(e) = \{v_1, v_2\}$  or  $\psi_G(e) = \{v\}$ .

example :



$$V(G) = \{1, 2, 3\}$$

$$E(G) = \{e_1, e_2, e_3, e_4\}$$

$$\psi_G(e_1) = \{1, 2\}$$

$$\psi_G(e_2) = \{1, 3\}$$

$$\psi_G(e_3) = \{2, 3\}$$

$$\psi_G(e_4) = \{3\} \leftarrow \text{a loop.}$$

→ Elementary definitions about graphs

Let  $G$  be a graph. We make the following definitions:

1) The vertices  $u, v \in V(G)$  are adjacent if there is an edge that connects them.

$$u \leftrightarrow v \Leftrightarrow \exists e \in E(G) : \psi_G(e) = \{u, v\}.$$

2) The vertex  $u \in V(G)$  is incident to the edge  $e \in E(G)$  if the edge  $e$  connects  $u$  with itself or with another vertex.

$$\oplus u \in e \Leftrightarrow u \in \psi_G(e)$$

3) The edge  $e \in E(G)$  is a loop if it connects a vertex with itself.

$$e \text{ loop} \Leftrightarrow |\psi_G(e)| = 1$$

4) The edges  $e_1, e_2 \in E(G)$  are adjacent if they share at least one vertex.

$$e_1 \leftrightarrow e_2 \Leftrightarrow |\psi_G(e_1) \cap \psi_G(e_2)| \geq 1$$

## → Vertex Degrees

- Let  $v \in V(G)$  be a vertex of  $G$ . The degree  $d(v)$  of  $v$  is the number of edges  $e \in E(G)$  to which  $v$  is incident, except that loops count twice.

$$d(v) = |\{e \in E(G) \mid v \in e\}| + |\{e \in E(G) \mid v \in e \text{ and } e \text{ loop}\}|$$

- The minimum degree  $\delta(G)$  of  $G$  is:

$$\delta(G) = \min_{u \in V(G)} d(u)$$

- The maximum degree  $\Delta(G)$  of  $G$  is

$$\Delta(G) = \max_{u \in V(G)} d(u)$$

### ► Prop : (The Handshaking lemma)

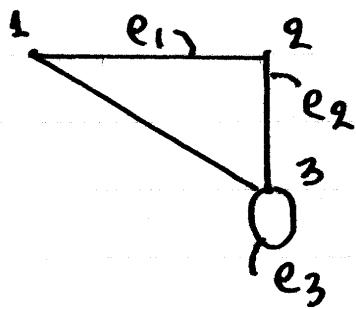
The sum of the degrees of all vertices is twice the number of edges

$$\sum_{u \in V(G)} d(u) = 2|E(G)|$$

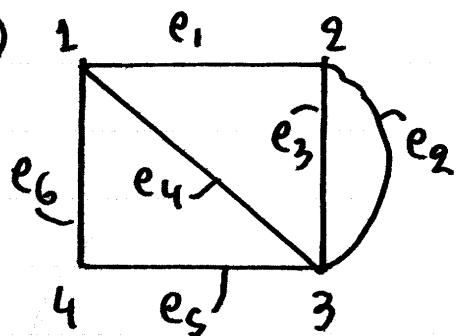
## EXERCISES

- ① For the following graphs, list  $V(G)$ ,  $E(G)$ , and the values of the incidence mapping  $\psi_G$ :

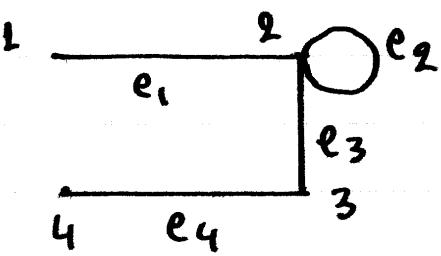
a)



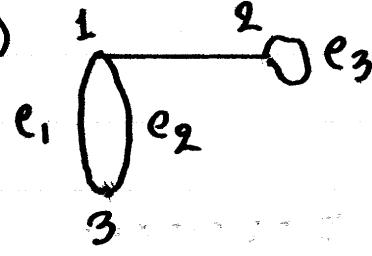
b)



c)



d)



- ② For the graphs of the previous exercise, list the degrees of each vertex and write  $\delta(G)$  and  $\Delta(G)$ .
- ③ Show that it is not possible to create a graph with 9 vertices such that the degree of every vertex is 3.

- ④ Show that it is not possible to create a graph with 7 vertices of degree 3 and 2 vertices of degree 2.
- ⑤ Let  $G$  be a graph with 10 vertices such that  $\delta(G) = \Delta(G) = 2$ . How many edges does  $G$  have?
- ⑥ Let  $G$  be a graph with  $|V(G)| = 8$  such that  $\Delta(G) = 4$ . Show that  $|E(G)| < 36$ .
- ⑦ Let  $G$  be a graph such that  $|V(G)| = |E(G)|$ . Show that  $\delta(G) \leq 3$ .
- ⑧ Let  $G$  be a graph with  $\Delta(G) = 4$ . Show that  $|E(G)| \leq 2|V(G)|$
- ⑨ A graph with 4 edges has a vertex with degree 4, a vertex with degree 1 and one more vertex. What is the degree of the third vertex?

## → Types of graphs

1) Simple graphs: A graph  $G$  is simple if it has no loops and no multiple edges.

$$G \text{ simple} \Leftrightarrow \begin{cases} \forall e \in E(G) : |\psi_G(e)| = 2 \\ \forall e_1, e_2 \in E(G) : (\psi_G(e_1) = \psi_G(e_2) \Rightarrow e_1 = e_2) \end{cases}$$

2) Regular graphs: A graph  $G$  is regular if all vertices have the same degree.

$$G \text{ regular} \Leftrightarrow \forall v_1, v_2 \in V(G) : d(v_1) = d(v_2)$$

Specifically we say that  $G$  is  $r$ -regular if all vertices have degree  $r$ .

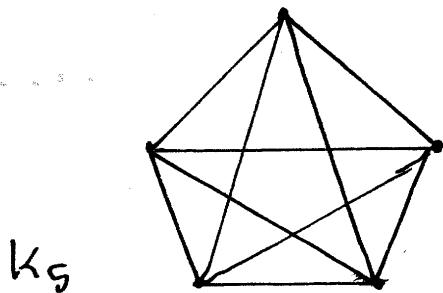
$$G \text{ } r\text{-regular} \Leftrightarrow \forall u \in V(G) : d(u) = r$$

3) Complete graphs: A graph is complete if every two vertices are connected by one edge and the graph is simple.

$$G \text{ complete} \Leftrightarrow \begin{cases} \forall u, v \in V(G) : \exists e \in E(G) : \psi_G(e) = \{u, v\} \\ G \text{ simple} \end{cases}$$

The complete graph with  $n$  vertices is denoted  $K_n$ .

e.g.



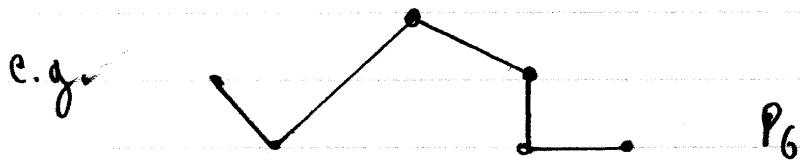
4) Null graphs : A null graph is a graph with no edges.

$$G \text{ null} \Leftrightarrow E(G) = \emptyset$$

The null graph with  $n$  vertices is denoted  $N_n$ .

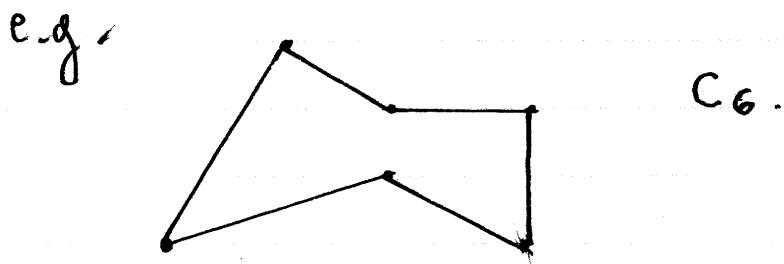
5) The path graph  $P_n$  is defined as the graph with

$$\begin{cases} V(P_n) = \{v_1, v_2, \dots, v_n\} \\ E(P_n) = \{e_1, e_2, \dots, e_{n-1}\} \\ \psi(e_k) = \{v_k, v_{k+1}\}, k=1, 2, 3, \dots, n-1. \end{cases}$$



6) Cycle graphs : The cycle graph  $C_n$  is defined as the graph with

$$\left\{ \begin{array}{l} V(C_n) = \{v_1, v_2, \dots, v_n\} \\ E(C_n) = \{e_1, e_2, \dots, e_n\} \\ \psi(e_k) = \{v_k, v_{k+1}\}, k=1, \dots, n-1 \\ \psi(e_n) = \{v_n, v_1\} \end{array} \right.$$



► Regularity

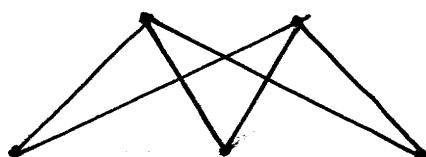
Note that

- a)  $K_n$  is  $(n-1)$ -regular
- b)  $N_n$  is 0-regular
- c)  $C_n$  is 2-regular
- d)  $P_n$  is not regular.

## 7) Bipartite graphs

- A graph  $G$  is called bipartite if its vertex set  $V(G)$  can be partitioned to two sets  $V_1$  and  $V_2$  such that every edge of  $G$  connects a vertex in  $V_1$  with a vertex in  $V_2$ . Thus, the conditions that must be satisfied are
  - a)  $V(G) = V_1 \cup V_2 \quad \left\{ \begin{array}{l} V_1, V_2 \text{ is a partition} \\ V_1 \cap V_2 = \emptyset \end{array} \right.$
  - b)  $\forall e \in E(G): \left\{ \begin{array}{l} |\psi_G(e) \cap V_1| = 1 \\ |\psi_G(e) \cap V_2| = 1. \end{array} \right.$
- The complete bipartite graph  $K_{m,n}$  is a bipartite graph, which, in addition to the above conditions also satisfies
  - a)  $|V_1| = m, |V_2| = n$
  - b)  $\forall u \in V_1 : \forall v \in V_2 : \exists! e \in E(G) : \psi_G(e) = \{u, v\}.$

example



$K_{2,3}$ .

## EXERCISES

(10) Draw the following graphs:

- a)  $K_4$
- b)  $K_5$
- c)  $K_6$
- d)  $K_{1,3}$
- e)  $K_{2,2}$
- f)  $K_{3,3}$
- g)  $P_4$
- h)  $C_3$
- i)  $C_4$

(11) Which of the graphs in the previous exercise are regular?

(12) For  $a, b$  integers  $a > 0$  and  $b > 0$  evaluate the following:

- a)  $\delta(K_a)$
- b)  $\delta(K_{a,b})$
- c)  $\delta(P_a)$
- d)  $\delta(C_a)$
- e)  $\Delta(K_a)$
- f)  $\Delta(K_{a,b})$
- g)  $\Delta(P_a)$
- h)  $\Delta(C_a)$
- i)  $|E(K_a)|$
- j)  $|E(K_{a,b})|$
- k)  $|E(P_a)|$
- l)  $|E(C_a)|$

[You can check your general answers by testing them when  $a=2, b=3$  or  $a=4, b=3$ ]

(13) Show that

$$K_{a,b} \text{ regular} \Leftrightarrow a=b$$

- (14) Show that  $K_{5,7}$  is not regular.
- (15) Show that  
 $G$  regular  $\Leftrightarrow \delta(G) = \Delta(G)$ .
- (16) Let  $G$  be a bipartite graph with bipartition  $V(G) = V_1 \cup V_2$ .  
If  $|V_1| = a$  and  $|V_2| = a+2$   
show that  
 $|E(G)| \leq a^2 + 9a$
- (17) Show that we cannot build a bipartite graph with bipartition  $V(G) = V_1 \cup V_2$  such that  $|V_1| = 4$  and  $|V_2| = 3$  and  $|E(G)| > 14$ .

## ► Relations between graphs

- Let  $G, H$  be two graphs.

We say that  $G \cong H$  (or  $G$  is isomorphic to  $H$ ) if and only if there exist two mappings

$$f: V(G) \rightarrow V(H)$$

$$g: E(G) \rightarrow E(H)$$

such that

a)  $f, g$  are bijections

b)  $\forall e \in E(G) : (\psi_G(e) = \{u, v\} \Leftrightarrow \psi_H(g(e)) = \{f(u), f(v)\})$

- It follows that if  $G \cong H$  then  $G, H$  have the same number of edges and vertices and  $H$  can be obtained from  $G$  by relabelling the vertices of  $G$  according to the mapping  $f$ .

### ► Method

a) To show that  $G \cong H$  it is sufficient to discover the appropriate relabelling of vertices, i.e. the mapping  $f$ .

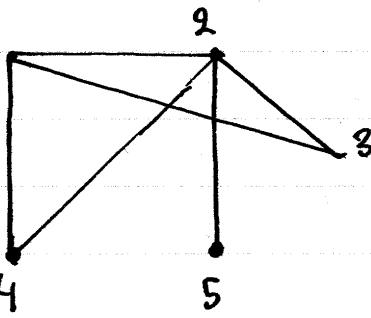
b) To show that  $G, H$  are not isomorphic we study the degrees of the vertices.

Of course if  $|E(G)| \neq |E(H)|$  or

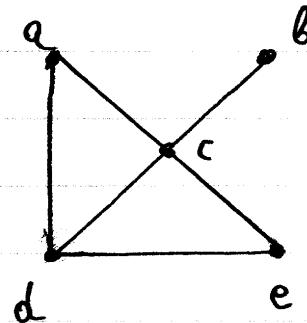
$|V(G)| \neq |V(H)|$  then the job is trivially easy.

## examples

a)  $G:$



$H:$



$G \cong H$  because

$f(1) = d$  (both have degree 3)

$f(2) = c$  (both have degree 4)

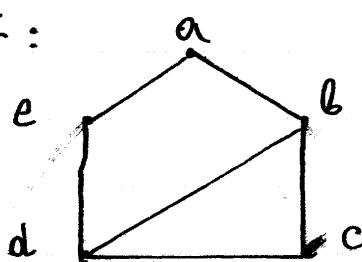
$f(3) = a$  (3 connected with 1, 2)

$f(4) = e$  (4 also connected with 1, 2)

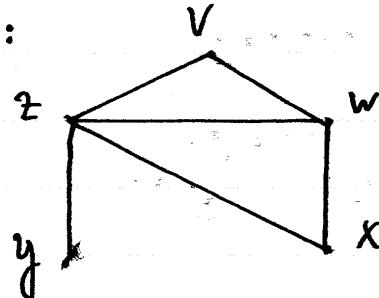
$f(5) = b$  (both have degree 1)

is an isomorphism.

b)  $G:$



$H:$



Note that

$$\begin{cases} d(y) = 1 \quad (y \in V(H)) \\ d(u) > 1, \quad \forall u \in V(G) \end{cases} \Rightarrow G \not\cong H.$$

## ► Properties

- a)  $G_1 \cong G_2 \Rightarrow G_2 \cong G_1$
- b)  $G_1 \cong G_2$  and  $G_2 \cong G_3 \rightarrow G_1 \cong G_3$
- c)  $G \cong G$ .

## → Subgraphs

- Let  $G, H$  be two graphs. We say that  $G$  is a subgraph of  $H$  (notation  $G \subseteq H$ ) if and only if the following conditions are satisfied:
  - a)  $V(G) \subseteq V(H)$
  - b)  $E(G) \subseteq E(H)$
  - c)  $\forall e \in E(G) : \psi_G(e) = \psi_H(e).$
- Thus, when  $G \subseteq H$ , all the edges and vertices of  $G$  are also vertices and edges of  $H$ .
- The set of all subgraphs of  $G$  is the powerset  $\mathcal{P}(G)$ :  
$$\mathcal{P}(G) = \{H \mid H \subseteq G\}$$

## ► Properties

- a)  $|V(G)| = n \Rightarrow N_k \subseteq G, \forall k \leq n.$
- b)  $\begin{cases} G \text{ simple} \\ |V(G)| = n \end{cases} \Rightarrow G \subseteq K_n.$

## Graph operations

Let  $G$  be a graph.

### 1) Induced subgraph

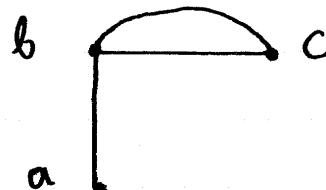
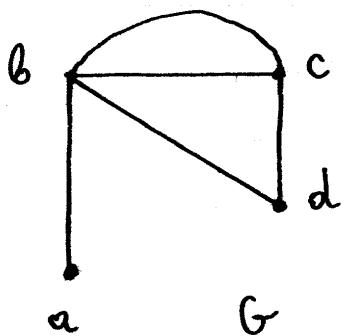
- Let  $V_0 \subseteq V(G)$ . The induced subgraph  $G[V_0]$  is the graph that consists of the vertices in  $V_0$  and the edges to which these vertices are incident. Thus,

$$V(G[V_0]) = V_0$$

$$E(G[V_0]) = \{e \in E(G) \mid \psi_G(e) \subseteq V_0\}$$

$$\forall e \in E(G[V_0]) : \psi_{G[V_0]}(e) = \psi_G(e).$$

#### example



$$G[\{a, b, c\}]$$

## 2) Vertex subtraction

- Let  $V_0 \subseteq V(G)$ . Then  $G - V_0$  is the graph obtained by deleting the vertices in  $V_0$  and the edges to which these vertices are incident.

$$G - V_0 = G[V(G) - V_0]$$

## 3) Edge-induced subgraph

- Let  $E_0 \subseteq E(G)$ . The  $G[E_0]$  is the subgraph of  $G$  that contains all the edges in  $E_0$  and the vertices incident to these edges.  
Thus,

$$V(G[E_0]) = \bigcup_{e \in E_0} \psi_G(e)$$

$$E(G[E_0]) = E_0$$

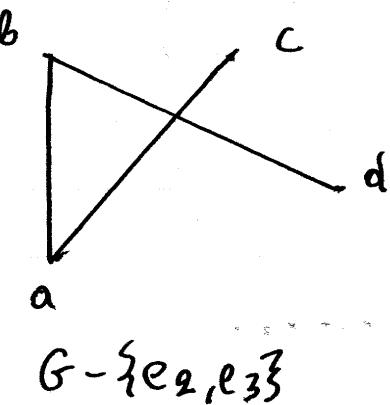
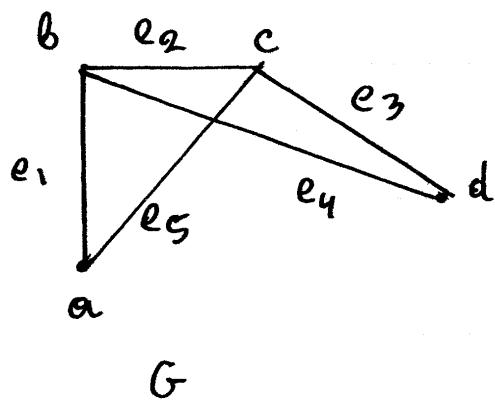
$$\forall e \in E_0 : \psi_{G[E_0]}(e) = \psi_G(e)$$

#### 4) Edge subtraction

- Let  $E_0 \subseteq E(G)$ . The graph  $G - E_0$  is the subgraph of  $G$  obtained by deleting the edges in  $E_0$  and the vertices incident to these edges. Thus

$$G - E_0 = G[E(G) - E_0]$$

example



#### 5) Graph union

- Let  $G_1 \subseteq G$  and  $G_2 \subseteq G$ . The union  $G_1 \cup G_2$  is the subgraph of  $G$  that contains the vertices and edges of both  $G_1$  and  $G_2$ . Thus:

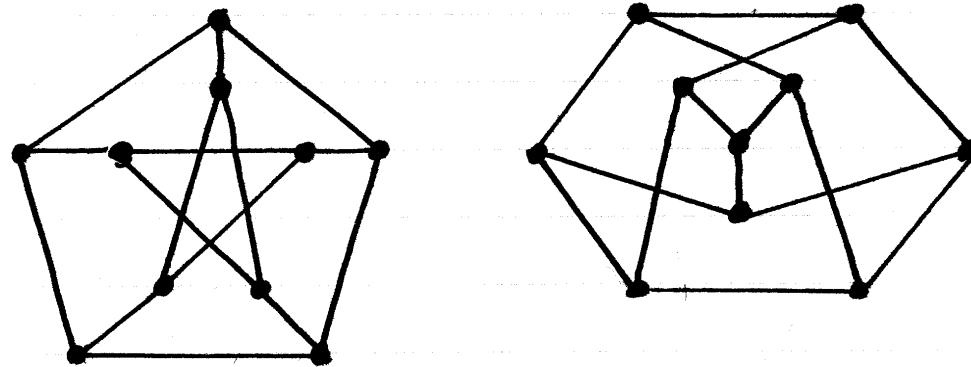
$$V(G_1 \cup G_2) = V(G_1) \cup V(G_2)$$

$$E(G_1 \cup G_2) = E(G_1) \cup E(G_2)$$

$$\forall e \in E(G_1 \cup G_2) : \psi_{G_1 \cup G_2}(e) = \psi_G(e).$$

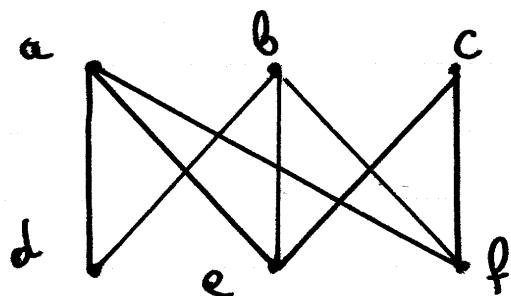
## EXERCISES

- (18) Show that the following graphs are isomorphic



(Hint: Look at the "cycles")

- (19) Consider the graph  $K_{3,3} = G$



Draw the following:

- |                        |                         |
|------------------------|-------------------------|
| a) $G[\{a, b, d\}]$    | f) $G - \{a, d\}$       |
| b) $G[\{a, d, e, f\}]$ | g) $G - \{c, d, e\}$    |
| c) $G[\{a, b, d, e\}]$ | h) $G - \{d, e, f\}$    |
| d) $G - \{a\}$         | i) $G - \{a, c, e, f\}$ |
| e) $G - \{a, b\}$      |                         |

(20) In the previous exercise, let

$$G_1 = G - \{a, c, e, f\}$$

$$G_2 = G[\{a, b, e\}]$$

Draw  $G_1 \cup G_2$ .

[Hint : List  $V(G_1), E(G_1), V(G_2), E(G_2)$  first].

(21) In the previous exercise show that

$$G[\{a, d\}] \cup G[\{b, e\}] \neq G[\{a, d, b, e\}]$$

## ► Connected graphs

### → Walks, trails, paths

- Let  $G$  be a graph. A walk  $w$  is a sequence of alternating vertices and edges of the form

$w = (v_0, e_1, v_1, e_2, v_2, \dots, v_{n-1}, e_n, v_n)$   
such that

$$\forall k \in [n] : \psi_G(e_k) = \{v_{k-1}, v_k\}.$$

- Features of a walk.
  - Starting point :  $s(w) = v_0$
  - Terminal point :  $t(w) = v_n$
  - $v_k(w) = v_k$   
 $e_k(w) = e_k$
  - Vertex set :  $V(w) = \{v_0, v_1, \dots, v_n\}$
  - Edge set :  $E(w) = \{e_1, e_2, \dots, e_n\}$
  - Length :  $l(w) = |E(w)| = n$ .
- The set of all walks in  $G$  is denoted  $W(G)$ .
- A trail is a walk in which all the edges are different. A path is a walk in which all the edges and vertices are different.

► Thus, for  $w \in W(G)$

a)  $w$  trail  $\Leftrightarrow$

$$\Leftrightarrow \forall m, n \in [l(w)] : (m \neq n \Rightarrow e_m(w) \neq e_n(w))$$

b)  $w$  path  $\Leftrightarrow$

$$\Leftrightarrow \begin{cases} w \text{ trail} \\ \forall m, n \in [l(w)] \cup \{0\} : (m \neq n \Rightarrow v_m(w) \neq v_n(w)) \end{cases}$$

- We define

$$T(G) = \{w \in W(G) \mid w \text{ is a trail}\}$$

$$P(G) = \{w \in W(G) \mid w \text{ is a path}\}$$

- Let  $u, v \in V(G)$  be two vertices of  $G$  with  $u \neq v$ . Then we define

- a) Set of all trails that connect  $u$  to  $v$

$$T(G, u \rightarrow v) = \{w \in T(G) \mid s(w) = u \wedge t(w) = v\}$$

- b) Set of all paths that connect  $u$  to  $v$

$$P(G, u \rightarrow v) = \{w \in P(G) \mid s(w) = u \wedge t(w) = v\}.$$

- Note that  $W(G)$  is an infinite set

- (i.e. you can go back and forth between two vertices indefinitely)

- but  $T(G)$  and  $P(G)$  are both finite sets.

- (i.e. you will run out of combinations of distinct edges and/or vertices).

→ Connected graphs

- A graph  $G$  is connected if for any two not-equal vertices  $u, v \in V(G)$ , there is at least one path from  $u$  to  $v$ .

$$G \text{ connected} \Leftrightarrow \forall u, v \in V(G) : (u \neq v \Rightarrow |P(G, u \rightarrow v)| \geq 1)$$

- The following graphs are connected:
  - Complete graph  $K_n$
  - Path graph  $P_n$
  - Cycle graph  $C_n$
  - The Bipartite graph  $K_{m,n}$ .

→ Graph components

Thm : Let  $G$  be a graph which is not connected. Then the vertex set  $V(G)$  can be partitioned to  $w$  pieces  $V_1, V_2, \dots, V_w$  such that

- $\forall m, n \in [w] : m \neq n \Rightarrow V_m \cap V_n = \emptyset$
- $V_1 \cup V_2 \cup \dots \cup V_w = V(G)$

c)  $G[V_n]$  connected,  $\forall n \in \omega$

d)  $G[V_1] \cup G[V_2] \cup \dots \cup G[V_w] = G$

→ The subgraphs  $G[V_1], \dots, G[V_w]$  are called components of  $G$ .

•  $w(G)$  = the number of components of  $G$ .

• Obviously:

$G$  connected  $\Leftrightarrow w(G) = 1$

$G$  not connected  $\Leftrightarrow w(G) > 1$ .

→ Bridges.

Thm : For any graph  $G$ :

$\forall e \in E(G)$ :  $w(G) \leq w(G - \{e\}) \leq w(G) + 1$

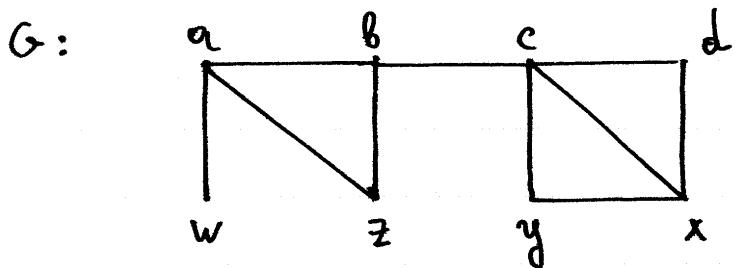
i.e. removing an edge may or may not increase the number of components by 1.

Remark : This theorem cannot be generalized to the deletion of vertices.

• Let  $G$  be a graph. An edge  $e \in E(G)$  is called a bridge if the deletion of  $e$  increases the number of components in the resulting graph.

$$e \in E(G) \text{ bridge} \Leftrightarrow w(G - \{e\}) > w(G)$$

example



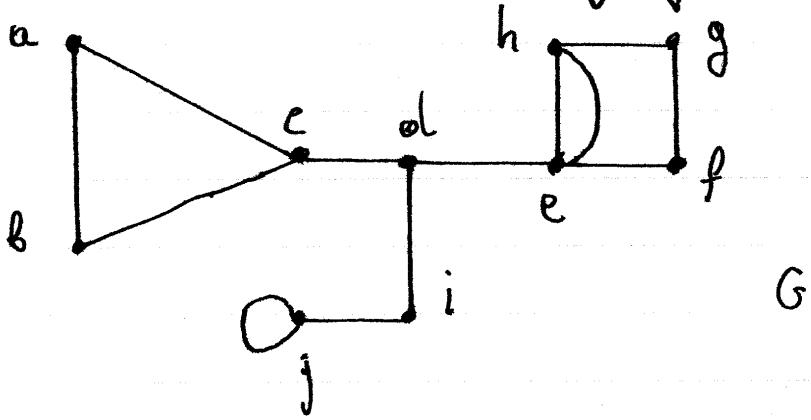
The edges  $aw$  and  $bc$  are bridges.

- Let  $G$  be a connected graph. We say that
  - $G$  is weakly-linked if it has at least one bridge
  - $G$  is strongly-linked if it has no bridges.
- Thus:
  - $G$  strongly-linked  $\Leftrightarrow \forall e \in E(G): G - \{e\}$  connected
  - $G$  weakly-linked  $\Leftrightarrow \begin{cases} G \text{ connected} \\ \exists e \in E(G): G - \{e\} \text{ not connected.} \end{cases}$

## EXERCISES

(22)

Consider the following graph



a) List the components of the following graphs:

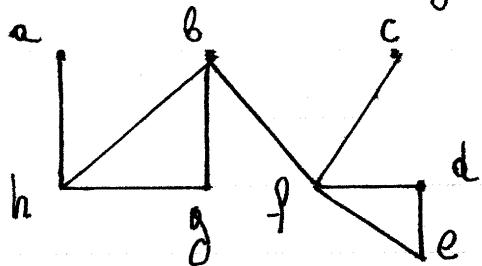
$$G_1 = G - \{c\} \quad G_4 = G - \{e\}$$

$$G_2 = G - \{d\} \quad G_5 = G - \{he, gf\}$$

$$G_3 = G - \{i\} \quad G_6 = G - \{edi\}$$

b) What are the bridges of the graph G?

(23) Consider the following graph



a) List the components of the following graphs:

$$G_1 = G - \{bf\}$$

$$G_4 = G - \{bh, bg\}$$

$$G_2 = G - \{g\}$$

$$G_5 = G - \{h\}$$

$$G_3 = G - \{bg\}$$

$$G_6 = G - \{f\}$$

b) What are the bridges of the graph  $G$ ?

(24) Let  $G$  be a connected graph and let  $e \in E(G)$ . Show that

$$w(G - \{e\}) \leq 2$$

## \* The Laplacian matrix

- Let  $G$  be a graph with  $n = |V(G)|$  vertices:

$$V(G) = \{v_1, v_2, \dots, v_n\}$$

The Laplacian matrix  $L_G$  is defined as

$$(L_G)_{ab} = \begin{cases} d(v_a), & \text{if } a=b \\ -1, & \text{if } a \neq b \text{ and } v_a \leftrightarrow v_b \\ 0, & \text{otherwise.} \end{cases}$$

- If  $w(G)$  is the number of components of  $G$  then the characteristic polynomial of  $L_G$  has a common factor  $\lambda^{w(G)}$  (i.e. 0 is a root with multiplicity  $w(G)$ )  
Thus

$$\det(L_G - \lambda I) = \lambda^{w(G)} f(\lambda)$$

with  $f(0) \neq 0$

## ★ Graph connectivity

- Let  $G$  be a connected graph

→ Edge connectivity  $\lambda(G)$

- Let  $E_0 \subseteq E(G)$ . We say that  $E_0$  is an edge cutset of  $G$  if and only if
  - $G - E_0$  is not connected
  - $\forall E_1 \subset E_0 : G - E_1$  is connected.
- The smallest number of edges needed to construct a cutset  $E_0$  of  $G$  is the edge-connectivity  $\lambda(G)$  of  $G$ . Thus

$$\lambda(G) = \min \{ |E_0| \mid E_0 \subseteq E(G) \text{ is edge-cutset of } G \}$$

→ Vertex connectivity  $\kappa(G)$

- Let  $V_0 \subseteq V(G)$ . We say that  $V_0$  is a vertex cutset of  $G$  if and only if
  - $G - V_0$  not connected
  - $\forall V_1 \subset V_0 : G - V_1$  connected.

- The smallest number of vertices needed to construct a vertex cutset  $V_0$  of  $G$  is the vertex-connectivity  $\kappa(G)$  of  $G$ .  
Thus

$$\boxed{\kappa(G) = \min \{ |V_0| \mid V_0 \text{ vertex cutset of } G \}}$$

↑ Note that

- $G$  not connected  $\Leftrightarrow \lambda(G) = \kappa(G) = 0$
- $G$  weakly-linked  $\Leftrightarrow \lambda(G) = 1$
- $G$  strongly-linked  $\Leftrightarrow \lambda(G) > 1$

↓ A property of connectivity

Recall that  $\delta(G)$  is the minimum degree of  $G$ :

$$\delta(G) = \min \{ d(u) \mid u \in V(G) \}$$

It can be shown that

Thm:  $G$  connected  $\Rightarrow$

$$\kappa(G) \leq \lambda(G) \leq \delta(G) \leq \frac{2|E(G)|}{|V(G)|}$$

## EXERCISES

(95) Consider the complete graph  $K_a$

Let  $u \in V(K_a)$ . Show

a) Show that  $K_a - \{u\} = K_{a-1}$

b) Show that

$$k(K_a) = \lambda(K_a) = \delta(K_a) = a-1$$

(96) Similarly, for the complete bipartite graph  $K_{a,b}$  show that

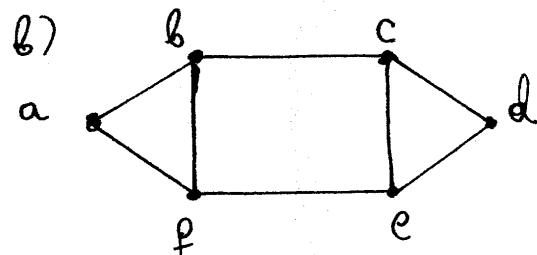
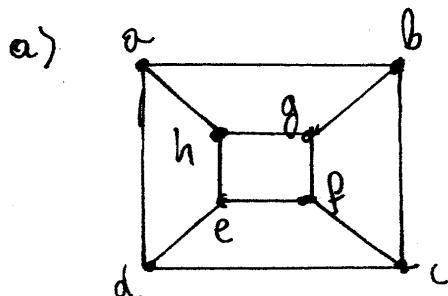
$$k(K_{a,b}) = \lambda(K_{a,b}) = \delta(K_{a,b}) = \min\{a, b\}$$

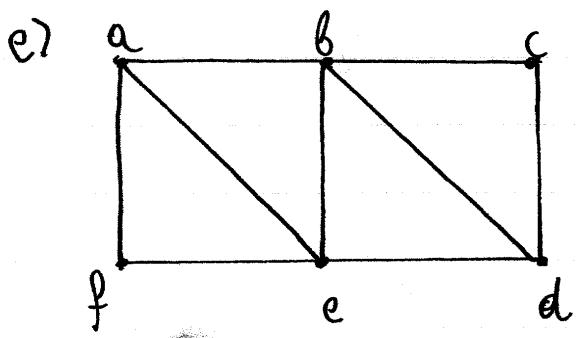
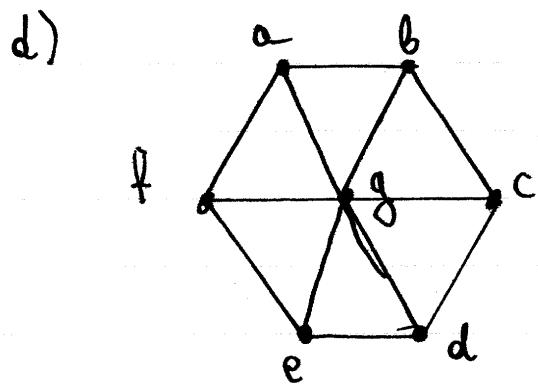
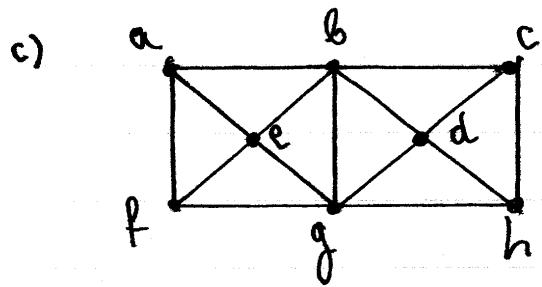
(97) Show that

a)  $k(P_n) = \lambda(P_n) = \delta(P_n) = 1$

b)  $k(C_6) = \lambda(C_6) = \delta(C_6) = 2$

(98) Calculate  $k(G)$  and  $\lambda(G)$  for the following graphs:





## ¶ Eulerian graphs.

Let  $G$  be a connected graph.

- Eulerian problem:

Is there a walk that can visit every edge of a graph  $G$  only once and return to the starting point at the end?

If the answer is yes, then we say that  $G$  is an Eulerian graph.

- Recall that a walk that visits every edge only once is a trail:

$w$  trail  $\Leftrightarrow$

$$\forall m, n \in [l(w)] : (m \neq n \Rightarrow e_m(w) \neq e_n(w))$$

Also recall our definition of the set of all trails:

$$T(G) = \{w \in W(G) \mid w \text{ is a trail}\}$$

- Formal definition:

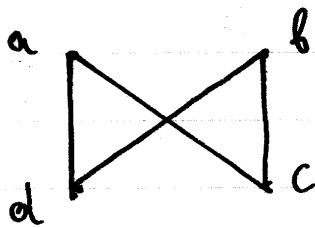
$$G \text{ eulerian} \Leftrightarrow \exists w \in T(G) : E(w) = E(G) \wedge s(w) = t(w)$$

Here  $E(w)$  is the edge-set of the trail  $w$ .

• Obviously:  $\boxed{G \text{ eulerian} \Rightarrow G \text{ connected}}$

example

$K_{2,2}$ :



$w = acbda$  is a trail with  
 $E(w) = \{ac, cb, bd, da\} = E(K_{2,2})$

$\Rightarrow K_{2,2}$  Eulerian.

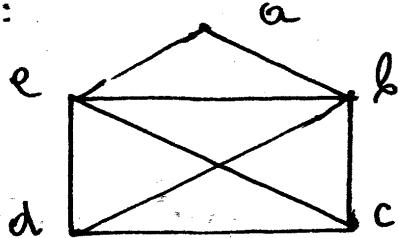
→ Criterion

A graph is Eulerian if and only if all vertices have even degree.

$\boxed{G \text{ Eulerian} \Leftrightarrow \forall v \in V(G) : \exists k \in \mathbb{N} - \{0\} : d(v) = 2k}$

### example

$G:$



$d(c) = |\{bc, ec, dc\}| = 3 \Rightarrow G$  not Eulerian.

→ Partition of Eulerian graph to disjoint cycles

Let  $G$  be an connected graph.

A cycle is a trail that starts and ends at the same point. Thus:

$$w \text{ cycle} \Leftrightarrow w \in T(G) \wedge s(w) = t(w)$$

Let  $V(w)$  be the vertex set of such a cycle.

Induce a subgraph  $G[V(w)]$  and consider the edge set  $E(G[V(w)])$  of that subgraph.

- We say that  $G$  can be partitioned to disjoint cycles  $w_1, w_2, \dots, w_n$  if and only if :

- a)  $w_1, w_2, \dots, w_n$  are cycles
- b) Collectively all the cycles together go through all the vertices:

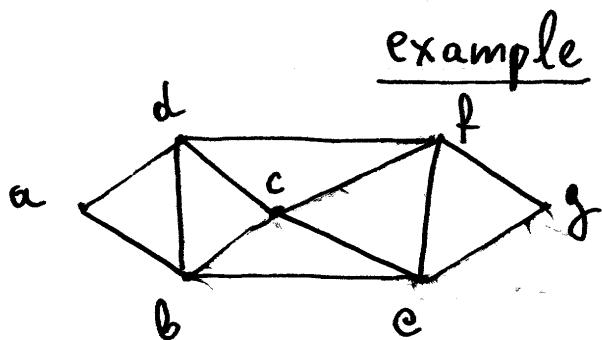
$$V(w_1) \cup V(w_2) \cup \dots \cup V(w_n) = V(G)$$

- c) Any two cycles do not share an edge

$\forall k, m \in [n] :$

$$E(G[V(w_k)]) \cap E(G[V(w_m)]) = \emptyset.$$

Thm :  $G$  Eulerian if and only if it can be partitioned to disjoint cycles.



Disjoint cycles:  $abcd$  and  $cegf$ .  
 trail:  $abcbefgefda$

► To construct an Eulerian trail you must visit all disjoint cycles and return to the beginning. If the trail is not obvious then

we use:

→ Fleury's Algorithm

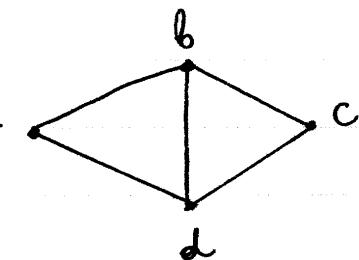
- 1) Pick a vertex to start.
- 2) Pick an edge to transverse such that
  - a) deleting that edge does not disconnect the graph.
  - b) if no such edge exists, go ahead and choose an edge that disconnects the graph.
- 3) Travel over the chosen edge to the next vertex. Delete edge from graph and add edge to a sequence of edges.  
Thus we have a reduced graph.
- 4) Repeat 2-3 on the reduced graph until all edges are deleted.
- 5) The resulting sequence of edges is an Eulerian trail.

## EXERCISES

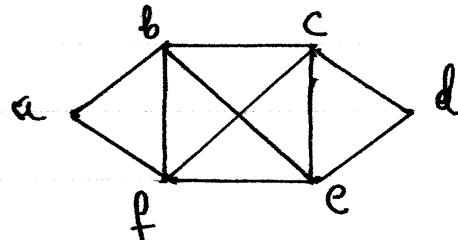
(29)

Which of the following graphs is Eulerian?

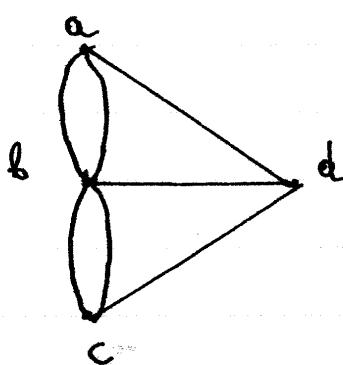
a)



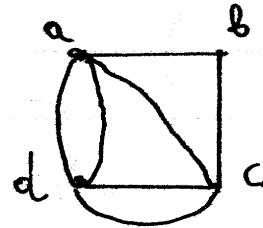
b)



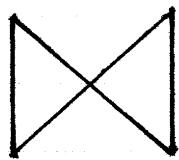
c)



d)

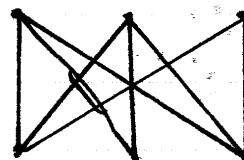


e)



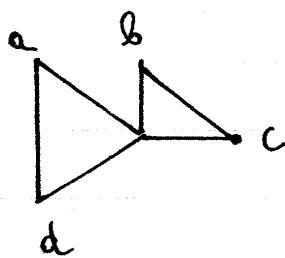
$K_{2,2}$

f)

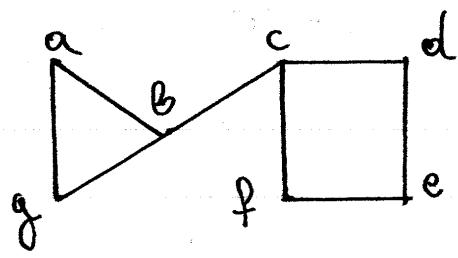


$K_{3,3}$

g)



h)



- (30) Show that
- a) If a Eulerian  $\Leftrightarrow$  a is odd
  - b) If a,b Eulerian  $\Leftrightarrow$  a is even AND b is even
  - c) If a is not Eulerian,  $\forall a \geq 2$
  - d) If a Eulerian,  $\forall a \geq 3$
- (31) An Eulerian graph has 3 vertices and 5 edges. Show that if one vertex has degree 4, then another vertex must have degree 2.
- (32) A graph with 4 edges and 4 vertices has two vertices of degree 2.  
Show that
- a) If the graph is not Eulerian  
then it has a vertex with degree 3
  - b) If the graph is Eulerian, then it is also regular.
- (33) Show that a regular graph with an odd number of vertices is always Eulerian.
- (34) Show that a regular graph with odd number of edges and whose number of vertices is a multiple of 4 is never Eulerian.

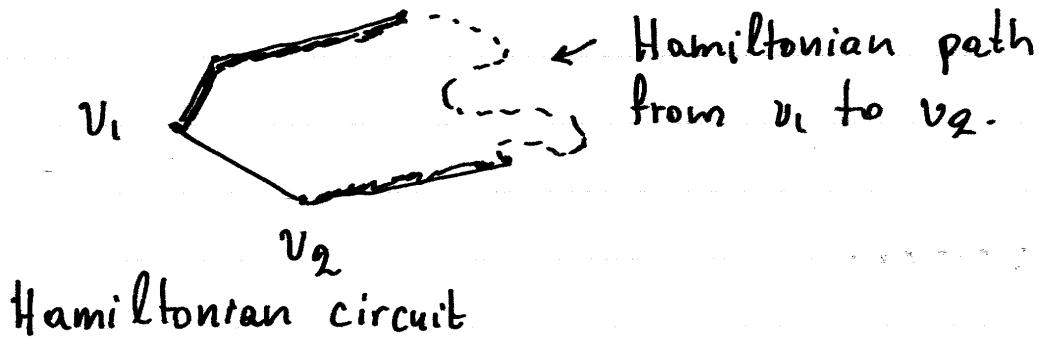
## ★ Hamiltonian graphs

Let  $G$  be a connected graph.

- Hamilton problem:

Can we construct a walk that visits every vertex of a graph once, without going through any edge more than once, and returns back to its starting point?

If yes, then the walk is a Hamiltonian circuit and the graph  $G$  is called a Hamiltonian graph.



- Recall that a path is a walk where no vertices and no edges are repeated. and that the set of all paths from  $v_1$  to  $v_2$  is  $P(G, v_1 \rightarrow v_2)$ .
- A graph  $G$  is Hamiltonian if there are two vertices  $v_1, v_2$  such that there is

- a) A direct edge from  $v_1$  to  $v_2$
- b) A path from  $v_1$  to  $v_2$  that goes through all the vertices of the graph (only once).

$G$  Hamiltonian  $\Leftrightarrow$

$$\exists v_1, v_2 \in V(G) : \exists w \in P(G, v_1 \rightarrow v_2) : \begin{cases} v_1 \leftrightarrow v_2 \\ V(w) = V(G) \end{cases}$$

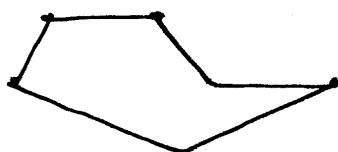
The path  $w$  is called the Hamiltonian path and together with the direct edge it forms a Hamiltonian circuit.

- It is not necessary for a Hamiltonian circuit to visit all edges, but if it does then the graph is also Eulerian.

### examples

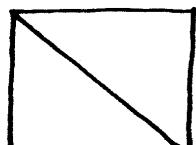


$P_2$  Hamiltonian



$C_5$  Hamiltonian.

$G :$



$G$  Hamiltonian.

- There is no general necessary and sufficient conditions for Hamiltonian graphs discovered yet.

→ A necessary condition

Recall that

$$w(G) = \text{number of components of } G.$$

Thm: If  $G$  is hamiltonian, then if we remove  $n$  vertices and all edges that are incident to these vertices, then the resulting subgraph will not break into more than  $n$  components.

$$G \text{ hamiltonian} \rightarrow \forall V_0 \subset V(G): w(G - V_0) \leq |V_0|$$

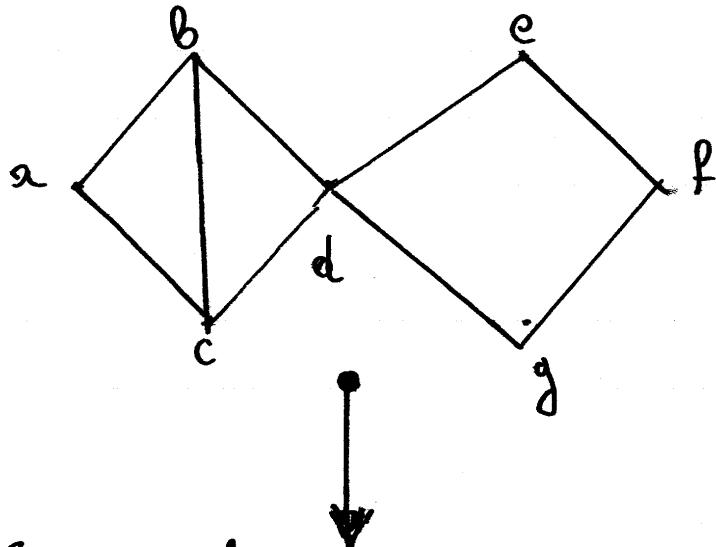
The contrapositive statement is:

$$\exists V_0 \subset V(G): w(G - V_0) > |V_0| \Rightarrow G \text{ not hamiltonian}$$

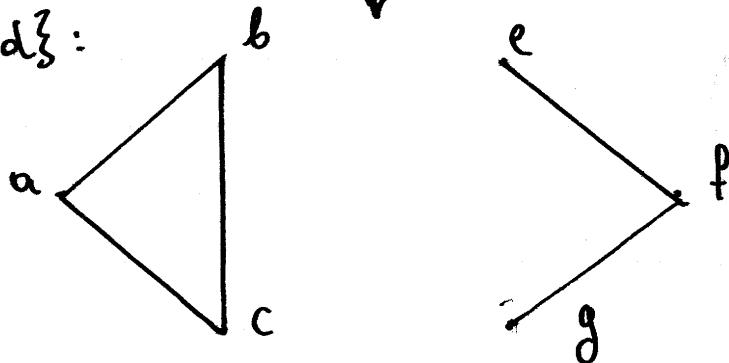
► We can use this statement sometimes, but not always, to show that a graph is not hamiltonian.

example

$G:$



$G - \{d\}:$



Note that  $w(G - \{d\}) = 2 \Rightarrow | \{d\} | = L$   
 $\Rightarrow w(G - \{d\}) > |\{d\}| \Rightarrow$   
 $\Rightarrow G$  not hamiltonian.

→ Sufficient condition

- Ore's Theorem.

Let  $G$  be a simple connected graph with  $|V(G)| \geq 3$ . Then

$$(\forall u, v \in V(G): (u \not\leftrightarrow v \Rightarrow d(u) + d(v) \geq |V(G)|) \Rightarrow \\ \Rightarrow G \text{ is hamiltonian.}$$

Recall that

$u \not\leftrightarrow v$  :  $u, v$  not adjacent.

- Dirac's theorem.

$$\left. \begin{array}{l} G \text{ simple graph} \\ |V(G)| \geq 3 \\ \delta(G) \geq (1/2)|V(G)| \end{array} \right\} \Rightarrow G \text{ is Hamiltonian}$$

Proof

$$\delta(G) \geq (1/2)|V(G)| \Rightarrow$$

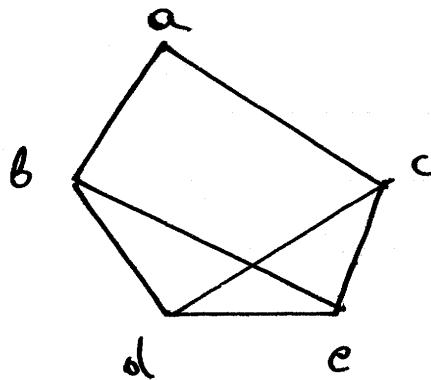
$$\Rightarrow \forall u \in V(G): d(u) \geq (1/2)|V(G)| \Rightarrow$$

$$\Rightarrow \forall u, v \in V(G): d(u) + d(v) \geq (1/2)|V(G)| + (1/2)|V(G)| \\ = |V(G)|$$

$\Rightarrow$  The cond. of Ore satisfied  $\Rightarrow G$  hamiltonian. D.

### example

$G :$

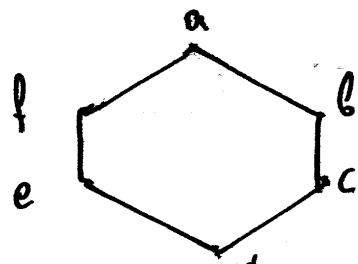


$$|V(G)| = 5$$

$$\left. \begin{array}{l} d(a) + d(d) = 2 + 3 = 5 \\ d(a) + d(e) = 2 + 3 = 5 \\ d(b) + d(c) = 3 + 3 = 6 \\ d(b) + d(e) = 3 + 3 = 6 \\ d(d) + d(c) = 3 + 3 = 6 \end{array} \right\} \Rightarrow \begin{array}{l} \text{Ore theorem applies} \\ \Rightarrow G \text{ Hamiltonian.} \end{array}$$

### counterexample

$C_6 :$



$$|V(C_6)| = 6$$

$$\forall u, v \in V(C_6) : d(u) + d(v) = 2 + 2 = 4 > 6 = |V(C_6)|$$

$\Rightarrow$  Ore theorem does not apply.

However  $abcdfa$  is Hamiltonian circuit  $\Rightarrow$   
 $\Rightarrow C_6$  Hamiltonian.

→ On Bipartite graphs.

Thm : Let  $G$  be a graph. If

$$\begin{cases} G \text{ is bipartite} \\ |V(G)| = 2k+1, k \in \mathbb{N} \end{cases} \Rightarrow G \text{ is not Hamiltonian}$$

Proof

$G$  bipartite  $\Rightarrow$  There are  $V_1, V_2 \subseteq V(G)$   
such that  $V_1 \cap V_2 = \emptyset$ ,  $V_1 \cup V_2 = V(G)$ , and  
 $\forall e \in E(G) : \begin{cases} |\psi_G(e) \cap V_1| = 1 \\ |\psi_G(e) \cap V_2| = 1 \end{cases}$

Assume that  $G$  is Hamiltonian.

Then, a Hamiltonian circuit must alternate between vertices in  $V_1$  and vertices in  $V_2$  and visit all vertices, returning to point of origin. This requires that

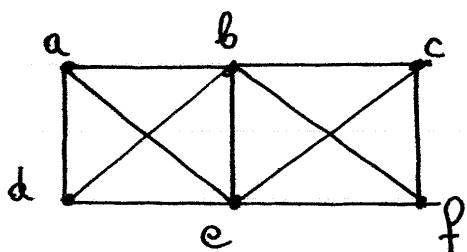
$$\begin{aligned} |V_1| &= |V_2| = n \Rightarrow \\ \Rightarrow |V(G)| &= |V_1| + |V_2| = n + n = 2n \Rightarrow \\ \Rightarrow |V(G)| &\text{ even } \leftarrow \text{contradiction.} \end{aligned}$$

Thus,  $G$  is not Hamiltonian. □

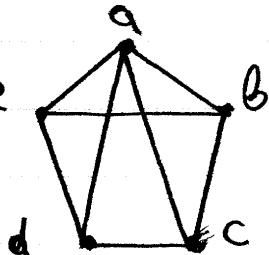
## EXERCISES

(35) Show that the following graphs are Hamiltonian

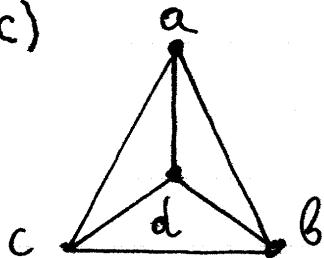
a)



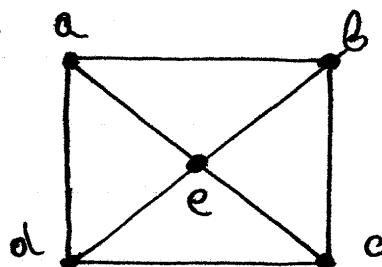
b)



c)



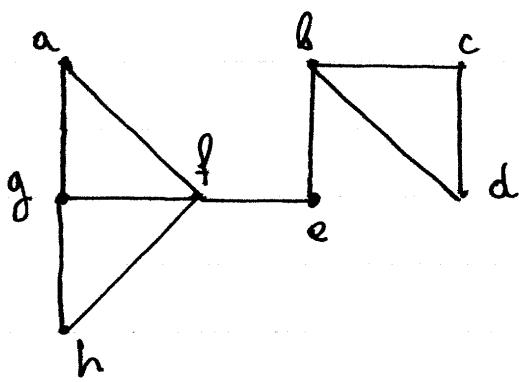
d)



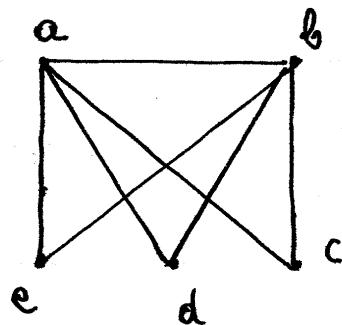
(36)

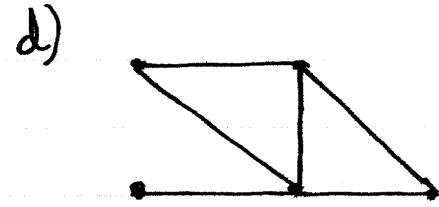
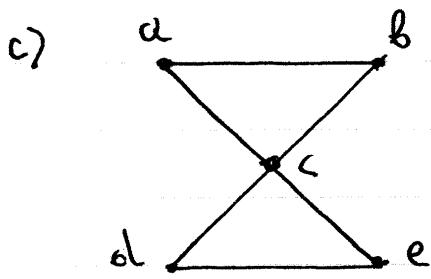
Show that the following graphs are not Hamiltonian

a)



b)





(37) Show that  $K_a$  is Hamiltonian for all  $a \geq 3$ .

(38) Show that

a)  $a = b \Rightarrow K_{a,b}$  Hamiltonian

b)  $a \neq b \Rightarrow K_{a,b}$  not Hamiltonian

→ It follows from this exercise that  $K_{a,b}$  Hamiltonian  $\Leftrightarrow a = b$ .

(39) Let  $G$  be a graph with less than 7 vertices and vertex connectivity  $\kappa(G) = 4$ . Show that  $G$  is Hamiltonian.

(40) Show that a graph  $G$  with vertex connectivity  $\kappa(G) = 1$  is not Hamiltonian

(41) Show that a strongly-linked graph with 4 vertices is always Hamiltonian.

## Adjacency matrix

- Let  $G$  be a graph with  $n = |V(G)|$  vertices given by

$$V(G) = \{v_1, v_2, \dots, v_n\}$$

- a) We define the adjacency matrix  $A(G)$  by:

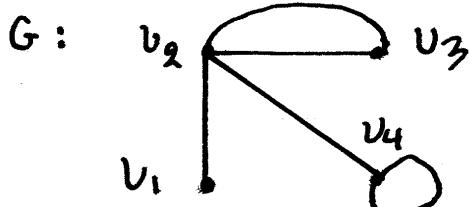
$$[A(G)]_{ab} = |\{e \in E(G) \mid \psi_G(e) = \{v_a, v_b\}\}|$$

Thus,  $[A(G)]_{ab}$  is the number of edges that connect  $v_a$  and  $v_b$ .

- b) We also define the matrix  $B(G)$  as

$$B(G) = A(G) + A^2(G) + \dots + A^{n-1}(G)$$

example



$$A(G) = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 2 & 1 \\ 0 & 2 & 0 & 0 \\ 0 & 1 & 0 & 2 \end{bmatrix}$$

→ Properties of adjacency matrix

1)  $A(G), B(G)$  are both symmetric:

$$\begin{aligned} [A(G)]_{ab} &= [A(G)]_{ba} \\ [B(G)]_{ab} &= [B(G)]_{ba} \end{aligned}$$

2) Column / Row sums of  $A(G)$

$$\begin{aligned} \sum_{a=1}^n [A(G)]_{ab} &= d(v_b) \\ \sum_{b=1}^n [A(G)]_{ab} &= d(v_a) \end{aligned}$$

3) Enumeration of walks

Recall that  $W(G)$  is the set of all walks of  $G$ . Let

$W_l(G) = \{w \in W(G) \mid l(w) = l\}$   
be the set of all walks of  $G$  with length  $l$ .

Then:

$$[A^k(G)]_{ab} = |\{w \in W_k(G) \mid s(w) = v_a \text{ and } t(w) = v_b\}|$$

#### 4) Enumeration of closed walks

A closed walk  $w \in W(G)$  is a walk whose starting point  $s(w)$  and end point  $t(w)$  coincide. It follows from the previous result that the number of closed walks with length  $k$  is given by:

$$\text{tr}(A^k(G)) = |\{w \in W_k(G) \mid s(w) = t(w)\}|$$

#### 5) Criteria for connectivity

The graph  $G$  is connected if and only if all the off-diagonal elements of  $B(G)$  are greater than zero.

$$G \text{ connected} \Leftrightarrow [B(G)]_{ab} > 0, \forall a, b \in [n]: a \neq b$$

→ For property 4 note that if  $\lambda_1, \lambda_2, \dots, \lambda_n$  are the eigenvalues of  $A(G)$  then

$$\text{tr}(A^k(G)) = \lambda_1^k + \lambda_2^k + \dots + \lambda_n^k \quad (\text{why?})$$

## EXERCISES

(42) Write the incidence matrices for the following graphs:

- a)  $K_3$
- b)  $K_4$
- c)  $K_{2,3}$
- d)  $K_{3,3}$
- e)  $C_4$
- f)  $P_4$

(43) Let  $A(K_3)$  be the adjacency matrix of  $K_3$

- a) Find the characteristic polynomial of  $A(K_3)$
- b) Show that  $A^3 = 3A + 2I$
- c) How many open walks does  $K_3$  have of length 3?
- d) How many closed walks does  $K_3$  have of length 3?
- e) Calculate  $A^5$  and answer the same questions (c), (d) for walks of length 5.

(44) Let  $A(K_{2,2})$  be the adjacency matrix of  $K_{2,2}$

- a) Find the characteristic polynomial of  $A(K_{2,2})$
- b) How many cycles of length 5 are there in  $K_{2,2}$ ?

(45) Let  $G$  be a graph with adjacency matrix

$$A(G) = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

Show that  $G$  is not Eulerian.

(46) Show the following statements :

a)  $\sum_{a=1}^n \sum_{b=1}^n [A(G)]_{ab} = 2|E(G)|$

b)  $\sum_{a=1}^n [A(G)]_{ab} \geq \frac{n}{2} \quad \left. \right\} \Rightarrow G \text{ Hamiltonian}$   
 for all  $b \in [n]$

c)  $\sum_{a=1}^n [A(G)]_{ab} \geq \lambda(G), \forall b \in [n]$

## ¶ The shortest path problem

- A weighted graph is a graph  $G$  in which every edge has been mapped into a real number, called the weight of the corresponding edge.

- Consequently, in a weighted graph we have a mapping

$$f: E(G) \rightarrow \mathbb{R}$$

which maps every edge  $e \in E(G)$  into a weight  $f(e) \in \mathbb{R}$ .

- Let  $w \in P(G)$  be a path in  $G$ .

(i.e. a walk with no repeated vertices and no repeated edges).

Recall that  $E(w)$  is the edge-set of  $w$  defined as the set of edges traversed by  $w$ .

- The weight  $f(w)$  of the path  $w$  is defined as the sum

$$f(w) = \sum_{e \in E(w)} f(e)$$

- Let  $a, b \in V(G)$  with  $a \neq b$  be two distinct vertices of the graph  $G$ . Consider the set of paths  $P(G, a \rightarrow b)$  that take you from  $a$  to  $b$ . We define the distance  $f(a, b)$  associated with the weight function  $f$  as:

$$\begin{aligned} f(a, b) &= \min_{w \in P(G, a \rightarrow b)} f(w) = \\ &= \min_{w \in P(G, a \rightarrow b)} \left[ \sum_{e \in E(w)} f(e) \right] \end{aligned}$$

The path  $w \in P(G, a \rightarrow b)$  that minimizes  $f(w)$  is called the shortest path from  $a$  to  $b$ .

- Let us assume positive weights:

$$\forall e \in E(G) : f(e) \geq 0$$

Then it can be shown:

a)  $\forall a, b \in E(G) : f(a, b) = f(b, a)$

b)  $\forall a, b \in E(G) : f(a, b) \geq 0$

c)  $\forall a, b, c \in E(G) : f(a, c) \leq f(a, b) + f(b, c)$ .

## Dijkstra's Algorithm

- <sub>1</sub> Let  $u_0 = a$   
 $S_0 = \{u_0\}$   
 $L_0(u) = \begin{cases} u_0 & , \text{ if } u = u_0 \\ \infty & , \text{ if } u \neq u_0 \end{cases}$   
 where  $u \in V(G)$ . Initialization

- <sub>2</sub> Assume that

$$S_k = \{u_0, u_1, u_2, \dots, u_k\}$$

$$L_k(u) : V(G) \rightarrow \mathbb{R} \cup \{\infty\}$$

have been calculated in the previous step.

If  $k = |V(G)| - 1$ , then stop.

Otherwise, let

- $L_{k+1}(u) = \begin{cases} L_k(u) & , u \in S_k \\ \min\{L_k(u), L_k(u_k) + f(u_k u)\} & , \text{ if } u \in V(G) - S_k. \end{cases}$

(if  $u_k, u$  are not adjacent assume that

- $f(u_k u) = \infty$ )

$u_{k+1}$  = the element of  $V(G) - S_k$  that minimizes  $L_{k+1}(u)$

- $S_{k+1} = S_k \cup \{u_{k+1}\}$

and repeat until  $k = |V(G)| - 1$ .

- At the conclusion of the algorithm the distance from  $a$  to ANY vertex  $b \in V(G) - \{a\}$  will be given by

$$f(a, b) = L_{n-1}(b), \forall b \in V(G) - \{a\}$$

where  $n = |V(G)|$

### Backtracking Algorithm

To find the shortest path from  $a$  to  $b$  we use the following backtracking algorithm:

add  $b$  to list

let  $k = n-1$

let  $u = b$

while  $k \geq 1$

if  $L_{k-1}(u) > L_k(u)$  then

add  $u$  to list

let  $u = u_{k+1}$  (listed below  $k$ -column)

else

let  $k = k-1$

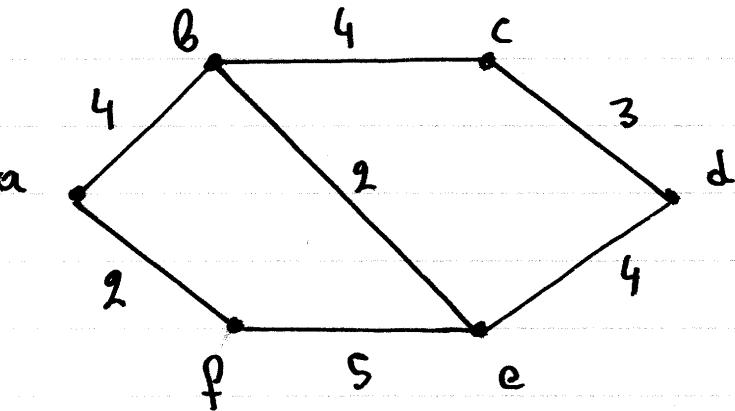
endif

endwhile

- The list contains the shortest path backwards.

## EXAMPLE

$G:$



	$k=0$	$k=1$	$k=2$	$k=3$	$k=4$	$k=5$
a	0	0	0	0	0	6
b	$\infty$	4	4	4	4	4
c	$\infty$	$\infty$	$\infty$	8	8	8
d	$\infty$	$\infty$	$\infty$	$\infty$	10	10
e	$\infty$	7	7	6	6	6
f	2	2	2	2	2	2

$$S_0 = \{a\}$$

$$S_1 = \{a, f\}$$

$$S_2 = \{a, f, b\}$$

$$S_3 = \{a, f, b, e\}$$

$$S_4 = \{a, f, b, e, c\}$$

$$\text{Log: } L_1(b) = \min\{\infty, 4\} = 4$$

$$L_1(f) = \min\{\infty, 2\} = 2$$

exclude a - min at f

copy a, f

$$L_2(e) = \min\{\infty, 2 + 5\} = 7$$

$$L_2(b) = \min\{4, 2 + \infty\} = 4$$

exclude a, f - min b

(Log continued)

③ {

copy a, f, b  
 $L_3(c) = \min\{\infty, 4+4\} = 8$   
 $L_3(d) = \min\{\infty, 4+\infty\} = \infty$   
 $L_3(e) = \min\{7, 4+2\} = 6$  (! change!)  
exclude a, f, b → minimum at e

④ {

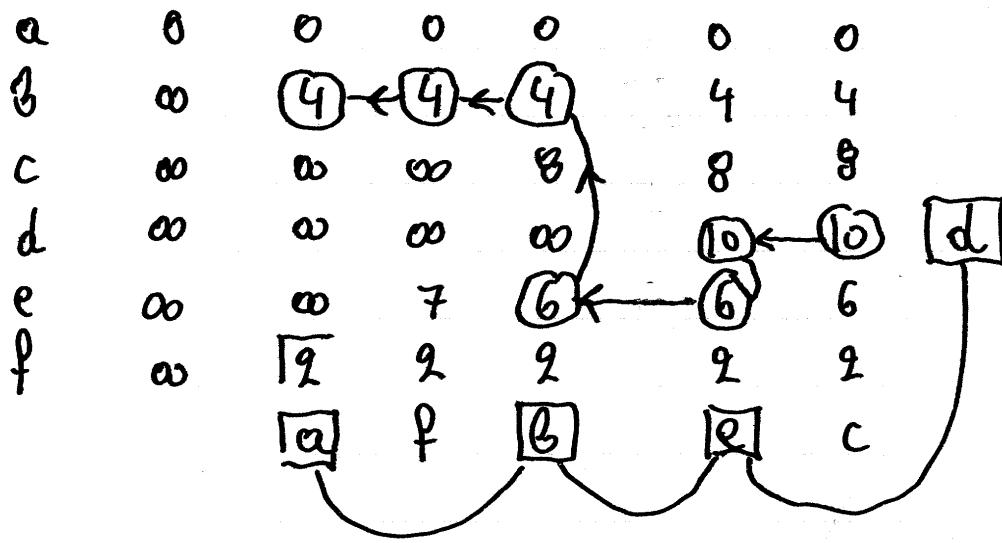
copy a, f, b, e  
 $L_3(c) = \min\{8, 6+\infty\} = 8$   
 $L_3(d) = \min\{\infty, 6+4\} = 10$   
exclude a, f, b, e → minimum at c

⑤ {

copy a, f, b, e, c  
 $L_5(d) = \min\{10, 8+3\} = 10$   
done

Thus,  $f(a, d) = L_5(d) = 10$ .

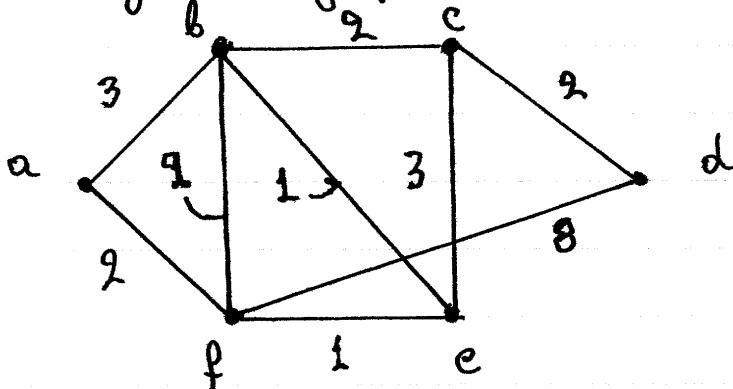
→ Backtrack



Shortest path: abed.

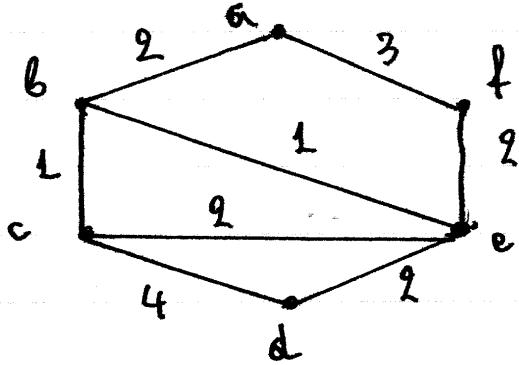
## EXERCISES

- (47) Apply Dijkstra's algorithm to the following weighted graph.



Find the shortest path from a to d.

- (48) Similarly, for the following graph



Find the shortest path from a to d.

## ▼ Trees

→ Cyclic vs. Acyclic graphs.

Recall that  $C_n$  is the cycle graph with  $n$  vertices. Let  $G$  be an arbitrary graph. We say that:

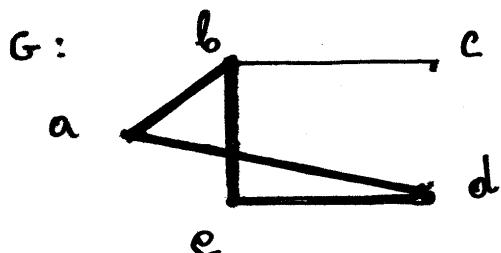
- a)  $G$  is cyclic, if and only if there is a subgraph  $H \subseteq G$  such that  $H$  is isomorphic to  $C_n$  for some value of  $n$ .

$$G \text{ cyclic} \Leftrightarrow \exists H \subseteq G : \exists n \in \mathbb{N} - \{0, 1\} : H \cong C_n$$

- b)  $G$  is acyclic if and only if it is not cyclic.

$$G \text{ acyclic} \Leftrightarrow G \text{ not cyclic}$$

### EXAMPLE



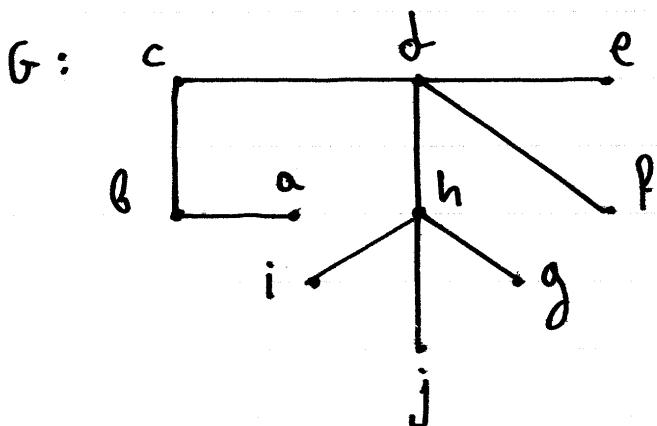
$G$  is cyclic  
abdea defines a  $C_4$  subgraph

## → Tree definition

- Let  $G$  be a graph. We say that  $G$  is a tree, if and only if  $G$  is connected and  $G$  is acyclic.

$$G \text{ tree} \Leftrightarrow \begin{cases} G \text{ connected} \\ G \text{ acyclic} \end{cases}$$

## example



$G$  is a tree.  
and also bipartite  
with  
 $V_1 = \{a, c, e, f, h\}$   
 $V_2 = \{b, d, g, i, j\}$

## → Properties of trees.

- 1)  $G$  tree  $\Rightarrow G$  simple
- 2)  $G$  tree  $\Rightarrow G$  bipartite
- 3)  $G$  tree  $\Leftrightarrow |E(G)| = |V(G)| - 1 \wedge G$  connected
- 4)  $G$  tree  $\Rightarrow \forall a, b \in V(G) : |P(G, a \rightarrow b)| = 1$   
(there is a unique path connecting any 2 vertices  $a, b$ )

- The unique path that connects the vertices  $a$  and  $b$  shall be denoted as  $p_{ab}$ . It follows that

$$P(G, a \rightarrow b) = \{p_{ab}\}$$

- 5)  $G$  tree  $\Rightarrow \forall e \in E(G): w(G - \{e\}) > w(G)$   
 (i.e. removing any edge from a tree disconnects the graph, thereby increasing the number of components).

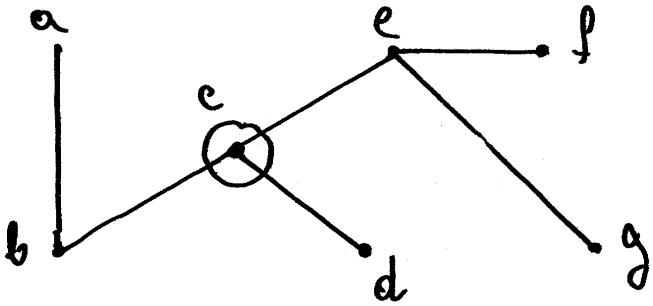
→ Rooted trees

- Let  $G$  be a tree. Suppose we choose a vertex  $a \in V(G)$  to be the root of the tree. Then, for any other vertex  $b \in V(G)$  there is a unique path  $p_{ab}$  from  $a$  to  $b$ . The level  $l_b$  of the vertex  $b$  is defined as the length of the unique path  $p_{ab}$ :

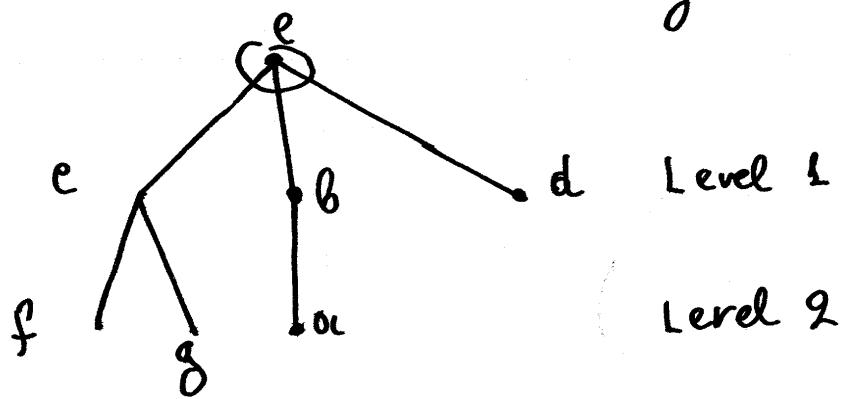
$$l_b = l(p_{ab})$$

Consequently a tree can be represented on the plane so that the vertices are sorted according to their order:

## EXAMPLE



using e as the root:



→ Trees and connectivity

Recall that for any graph G:

$$k(G) \leq \lambda(G) \leq \delta(G) \leq \frac{2|E(G)|}{|V(G)|}$$

where

$k(G)$  = vertex connectivity

$\lambda(G)$  = edge connectivity

$\delta(G)$  = minimum degree.

Assume that  $G$  is a tree.

Given that  $G$  is connected, by definition, we have

$$k(G) \geq 1 \text{ and } \lambda(G) \geq 1$$

We have also shown that

$$|V(G)| = n \Rightarrow |E(G)| = n-1$$

It follows that

$$\delta(G) \leq \frac{2|E(G)|}{|V(G)|} = \frac{2(n-1)}{n} < 2 \Rightarrow$$

$$\Rightarrow \underline{\delta(G) \leq 1} \text{ (1) (because } \delta(G) \text{ is integer).}$$

Also  $\delta(G) \geq k(G) \geq 1$  (2)

From (1) and (2) :  $\delta(G) = 1$

It follows that

$$1 \leq k(G) \leq \delta(G) = 1 \Rightarrow \underline{k(G) = 1}$$

$$1 \leq \lambda(G) \leq \delta(G) = 1 \Rightarrow \underline{\lambda(G) = 1}$$

Conclusion:

$$G \text{ is tree} \Rightarrow k(G) = \lambda(G) = \delta(G) = 1$$

## EXERCISES

- (49) Let  $G$  be a tree. Show that
- $G$  is not Eulerian (use  $\delta(G)=1$ )
  - $G$  is not Hamiltonian (use  $\kappa(G)=1$ )
  - There is another argument for (b) and (c) that is easier than what I suggest.
- (50) A forest is a graph  $G$  whose components  $G_1, G_2, \dots, G_n$  are trees. Show that if  $G$  is a forest, then
- $$|E(G)| = |V(G)| - w(G)$$
- (51) A saturated hydrocarbon is a molecule  $C_aH_b$  in which
- Every C atom has 4 simple bonds
  - Every H atom has 1 simple bond
  - No sequence of bonds forms a cycle.
- Show that (a), (b), (c) imply that  $b = 2a + 2$ .
- (52) In an unsaturated hydrocarbon  $C_aH_b$  we allow double and triple bonds. What is the relation between  $a, b$  if we allow  $d$  double bonds and  $t$  triple bonds?

- (53) Show that  $K_a$  is not a tree, for  $a \geq 3$   
but it is a tree when  $a=2$ .
- (54) Show that  
 $K_{a,b}$  is a tree  $\Leftrightarrow a=1$  or  $b=1$
- (55) Show that  $P_a$  is a tree for  $a \geq 2$   
but  $C_a$  is not a tree for  $a > 2$

## ¶ The minimum spanning tree problem

- Let  $G$  be a graph. We say that a tree  $T$  is a spanning tree of  $G$  if and only if
  - (a)  $T$  is a tree
  - (b)  $T$  is a subgraph of  $G$
  - (c) All the vertices of  $G$  are also vertices of  $T$ , and vice versa.

$$T \text{ spanning tree of } G \Leftrightarrow \begin{cases} T \subseteq G \\ T \text{ is a tree} \\ V(T) = V(G) \end{cases}$$

- The set of all spanning trees of  $G$  is denoted as

$$\mathcal{T}(G) = \{ T \subseteq G \mid T \text{ spanning tree of } G \}$$

- Thm : (Cayley) The complete graph  $K_n$  has  $n^{n-2}$  spanning trees.

$$|\mathcal{T}(K_n)| = n^{n-2}$$

- The problem : Let  $f: E(G) \rightarrow \mathbb{R}$  be a weight function that maps every edge  $e \in E(G)$  to a number  $f(e) \in \mathbb{R}$ . If  $T \in \tau(G)$  is a spanning tree of  $G$  then the weight associated with  $T$  is given by

$$w(T) = \sum_{e \in E(T)} f(e)$$

A tree  $T_0$  is a minimum spanning tree if and only if it minimizes  $f(T)$ .

To minimum spanning tree of  $G \Leftrightarrow \forall T \in \tau(G): f(T_0) \leq f(T)$ .

- A graph always has at least one minimum spanning tree and it is not necessarily unique.
- Kruskal's Algorithm

- 1) Choose  $e_1 \in E(G)$  that minimizes  $f(e_1)$
- 2) Assume we have chosen  $e_1, e_2, \dots, e_k$ . Choose  $e_{k+1} \in E(G) - \{e_1, e_2, \dots, e_k\}$  such that

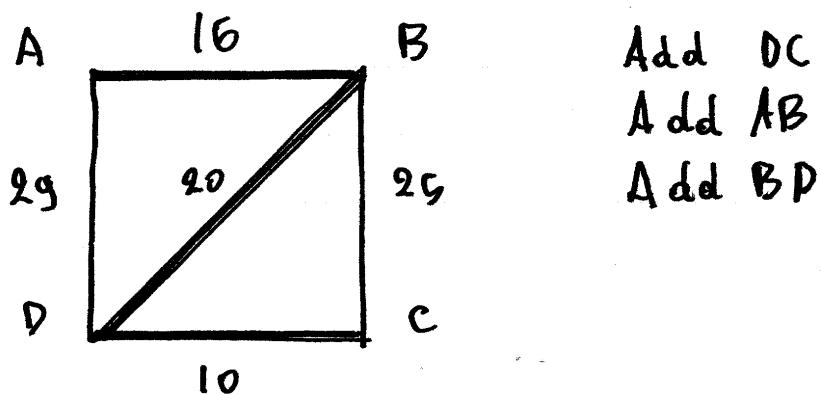
- (a)  $f(e_{kt})$  is minimum  
 (b) The induced graph  $G[\{e_1, e_2, \dots, e_{kt}\}]$   
 is acyclic.  
 3) Repeat 2 until  $e_{kt}$  cannot be found.

Upon completion, we have the edges

$e_1, e_2, \dots, e_n$   
 and the minimum spanning tree is:

$$T_0 = G[\{e_1, e_2, \dots, e_n\}]$$

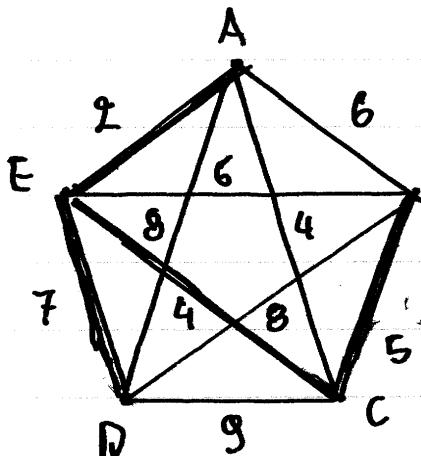
### example



Minimum spanning tree.

$$T_0 = G[\{AB, BP, DC\}]$$

## EXAMPLE



Add AE

From AC, CE choose CE

Reject AC (cycle AECA)

Add BC

Reject AB (cycle AECBA)

Reject BE (cycle ECBE)

Add ED

We now have a spanning tree

Thus :  $T_0 = G[\{AE, ED, EC, BC\}]$

## EXERCISES

(56) Show that if  $G$  connected then

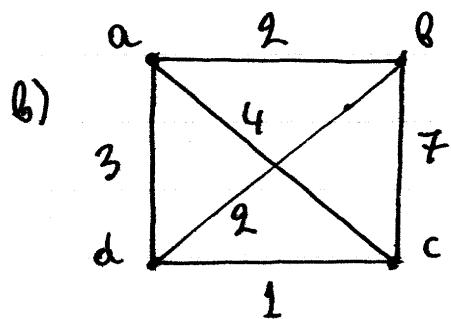
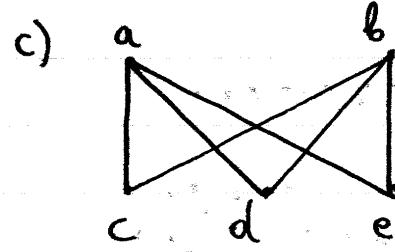
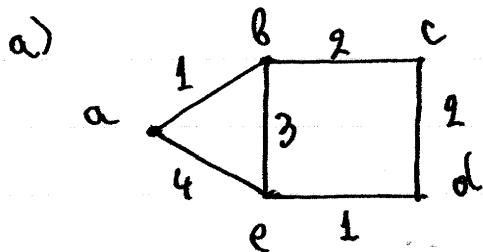
$$|E(G)| \geq |V(G)| - 1$$

(Hint: Consider the spanning tree of  $G$ )

(57) How many spanning trees does the following graphs have?

- a)  $K_3$
- b)  $K_4$
- c)  $K_5$

(58) Find the minimum spanning tree for the following graphs:



with  
 $ac = 1, ad = 3, ae = 2$   
 $bc = 4, bd = 5, be = 3$

(59) Show that  $|T(K_{2,n})| = n2^{n-1}$ .

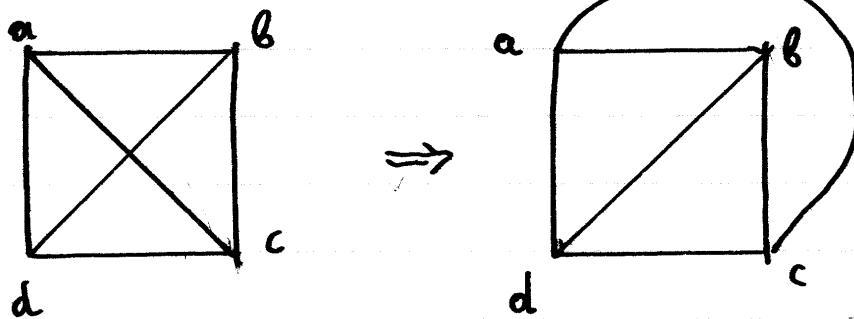
(Hint: Try  $K_{2,4}$  first as an example then generalize)

## Planar Graphs

- Let  $G$  be a graph. We say that  $G$  is planar if and only if it can be embedded on a plane so that no two edges intersect except at the vertices.

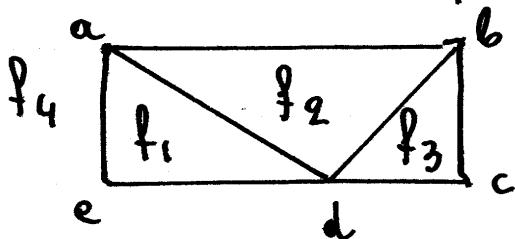
### example

$K_4$  is planar:



- A planar graph partitions the plane into regions. We call these regions faces and the set of all faces of  $G$  is denoted  $F(G)$ . Included is also the infinite face.

### example



$$F(G) = \{f_1, f_2, f_3, f_4\}$$

$f_4 = \text{infinite face.}$

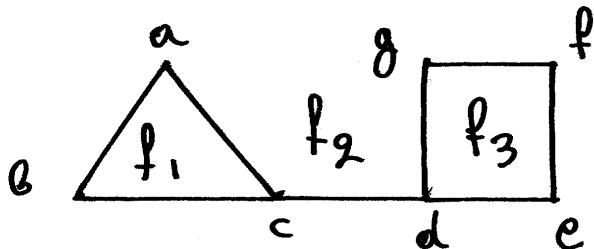
- For every edge  $e \in E(G)$ , on either side of the edge  $e$  there is one or two faces. We say that these faces are incident upon the edge  $e$ , and we define an incidence mapping:

$$f_G: E(G) \rightarrow P_1(F(G)) \cup P_2(F(G))$$

such that

$$\forall e \in E(G): f_G(e) = \{f_i \in F(G) \mid f_i \text{ incident to } e\}$$

### example



$$\begin{aligned} f_G(ac) &= \{f_1, f_2\} \\ f_G(de) &= \{f_2, f_3\} \\ f_G(cd) &= \{f_2\} * \end{aligned}$$

- Note that if  $e$  cut-edge  $\Rightarrow |f_G(e)| = 1$ .
- Two faces  $f_1, f_2$  are adjacent if there is an edge that separates them.

$$f_1, f_2 \text{ adjacent} \Leftrightarrow \exists e \in E(G): f_G(e) = \{f_1, f_2\}$$

↔ Dual graph

Let  $G$  be a planar graph. Using the incidence mapping  $f$ , we may define a dual graph  $G^*$  as follows:

We say that  $G^*$  is the dual of  $G$  if and only if

(a) Every vertex of  $G^*$  corresponds to a face of  $G$ . Thus, there is a bijection

$$\varphi_1: V(G^*) \rightarrow F(G)$$

(b) Every edge of  $G^*$  corresponds to an edge of  $G$ . Thus, there is another bijection

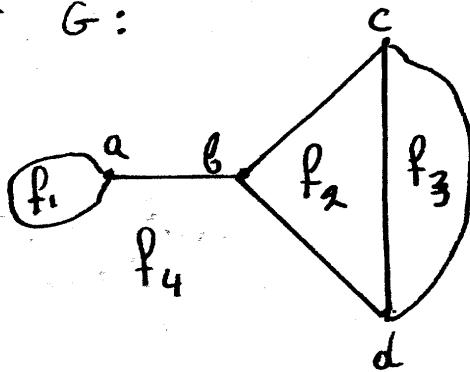
$$\varphi_2: E(G^*) \rightarrow E(G)$$

such that an edge  $e$  in  $G^*$  connects two vertices  $v_1, v_2 \in V(G^*)$  if the edge  $\varphi_2(e) \in E(G)$  connects  $\varphi_1(v_1), \varphi_2(v_2) \in V(G)$   
thus the incidence function of  $G^*$  satisfies:

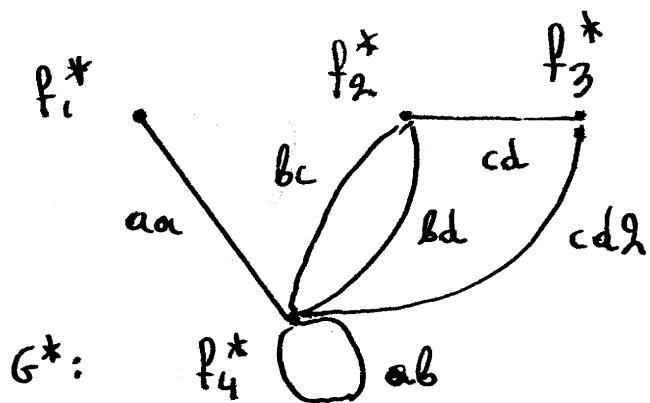
$$\forall e \in E(G^*): \psi_{G^*}(e) = \varphi_1^{-1}(f_G(\varphi_2(e)))$$

## EXAMPLE

For  $G$ :



the dual graph  $G^*$  is:



Note that

A loop in  $G$  becomes A cut-edge in  $G^*$  (e.g. aa)

A cut-edge in  $G$  becomes A loop in  $G^*$  (e.g. ab)

↔ Degree of a face

- Let  $G$  be a planar graph with dual graph  $G^*$  given by the bijections

$$\varphi_1: V(G^*) \rightarrow F(G)$$

$$\varphi_2: E(G^*) \rightarrow E(G)$$

and let  $f \in F(G)$  be a face of  $G$ .

The degree of  $f$  is defined as the degree of  $f^* = \varphi_1^{-1}(f)$  as a vertex in the dual graph:

$$d_G(f) = d_{G^*}(\varphi_1^{-1}(f))$$

EXAMPLE

In the previous example

$$d_G(f_1) = 1, d_G(f_2) = |\{bc, cd, bd\}| = 3$$

$$d_G(f_3) = 2, d_G(f_4) = 6 \text{ (ab counts twice)}$$

An alternative way to define degree of  $f$ : is as follows:

- The boundary  $b(f)$  of a face  $f \in F(G)$  is a closed walk around the face  $f$  in which no edge is repeated except for the cut-edges which are to be repeated twice!

(Recall that a cut-edge in  $G$  gives a loop in  $G^*$ ).

The length  $l(b(f))$  is the degree of  $f$ :

$$d_G(f) = l(b(f)), \forall f \in F(G)$$

### EXAMPLE

In the previous example:

$$b(f_1) = aa \Rightarrow d_G(f_1) = 1$$

$$b(f_2) = bc, cd, db \Rightarrow d_G(f_2) = 3$$

$$b(f_3) = (cd, (dc)_2) \Rightarrow d_G(f_3) = 2$$

$$b(f_4) = \underline{ab}, bc, (cd)_2, db, \underline{ba}, aa \Rightarrow d_G(f_4) = 6$$

- We say that a planar graph  $G$  is face-regular with face-regularity  $r$  if and only if

$$\forall f \in F(G) : d_G(f) = r$$

↔ Handshaking Lemma for faces.

Prop : If  $G$  is a planar graph, then

$$\boxed{\sum_{f \in F(G)} d_G(f) = 2|E(G)|}$$

Proof

$$\begin{aligned}
 \sum_{f \in F(G)} d_G(f) &= \sum_{f \in F(G)} d_{G^*}(\varphi_1^{-1}(f)) = \\
 &\quad [\text{definition of } d_G(f)] \\
 &= \sum_{f^* \in V(G^*)} d_{G^*}(f^*) \\
 &\quad [\varphi_1^{-1} \text{ bijection}] \\
 &= 2|E(G^*)| \\
 &\quad [\text{handshaking lemma} \\
 &\quad \text{on vertices}] \\
 &= 2|E(G)| \\
 &\quad [\text{from } \varphi_2 \text{ bijection}]
 \end{aligned}$$

□

## ► Properties of graphs.

1)  $\begin{cases} G_0 \subseteq G \\ G \text{ planar} \end{cases} \Rightarrow G_0 \text{ planar}$

2)  $G \text{ tree} \Rightarrow G \text{ planar and } |F(G)| = 1$

3)  $\begin{cases} G \text{ planar} \\ G^* \text{ dual graph of } G \end{cases} \Rightarrow G^* \text{ is planar}$

4) Euler's Formula

$\begin{cases} G \text{ planar} \\ G \text{ connected} \end{cases} \Rightarrow |V(G)| - |E(G)| + |F(G)| = 2$

### Proof

Let  $T$  be a spanning tree of  $G$ .

$$\text{Then } |V(T)| = |V(G)|$$

$$|E(T)| = |V(T)| - 1 = |V(G)| - 1$$

$$|F(T)| = 1$$

and therefore

$$\begin{aligned}|V(T)| - |E(T)| + |F(T)| &= \\&= |V(G)| - (|V(G)| - 1) + 1 = \\&= |V(G)| - |V(G)| + 1 + 1 = 2.\end{aligned}$$

Now consider the complete graph  $G$  and let

$$E_0 = \{e \in E(G) \mid e \notin E(T)\}$$

Removing any edge  $e \in E_0$  removes a face. Removing all edges in  $E_0$  leaves us with only one face. Therefore

$$\begin{aligned}|F(G)| - |E_0| = 1 \Rightarrow |F(G)| &= |E_0| + 1 \\&= |E_0| + |F(T)|\end{aligned}$$

and obviously  $|E(G)| = |E(T)| + |E_0|$

It follows that

$$\begin{aligned}|V(G)| - |E(G)| + |F(G)| &= \\&= |V(T)| - (|E(T)| + |E_0|) + (|E_0| + |F(T)|) \\&= |V(T)| - |E(T)| - |E_0| + |E_0| + |F(T)| \\&= |V(T)| - |E(T)| + |F(T)| = 2. \quad \square\end{aligned}$$

## 5) Necessary condition for planarity.

$$\left. \begin{array}{l} G \text{ planar} \\ G \text{ simple and connected} \\ |V(G)| \geq 3 \end{array} \right\} \Rightarrow |E(G)| \leq 3|V(G)| - 6$$

Proof

$G$  simple  $\Rightarrow G$  has no loops or multiple edges  $\Rightarrow \forall f \in F(G); d_G(f) \geq 3.$

$$\Rightarrow 2|E(G)| = \sum_{f \in F(G)} d_G(f) \geq 3|F(G)|$$

$$\Rightarrow |F(G)| \leq (2/3)|E(G)|$$

From Euler Formula

$$\begin{aligned} |E(G)| &= -2 + |V(G)| + |F(G)| \leq \\ &\leq -2 + |V(G)| + (2/3)|E(G)| \Rightarrow \\ \Rightarrow (1/3)|E(G)| &\leq -2 + |V(G)| \Rightarrow \\ \Rightarrow |E(G)| &\leq 3|V(G)| - 6 \quad \square \end{aligned}$$

## 6) Planarity and girth

- The girth  $g(G)$  of a graph  $G$  is the length of the shortest cycle contained in the graph  $G$ .

Thus

$$g(G) = \min \{n \mid C_n \subseteq G\}$$

- Note that
  - If  $G$  has a loop then  $g(G) = 1$
  - If  $G$  has a multiple edge and no loops, then  $g(G) = 2$
  - If  $G$  is simple  $\Rightarrow g(G) \geq 3$ .

- Also note that

$$G \text{ planar} \Rightarrow \forall f \in F(G) : d_G(f) \geq g(G)$$

because for any face  $f \in F(G)$ , the boundary  $\delta(f)$  cannot be longer than  $g(G)$ .

The main result is as follows:

$$\left. \begin{array}{l} G \text{ planar} \\ G \text{ connected} \\ G \text{ simple} \end{array} \right\} \Rightarrow |E(G)| \leq \frac{g(G)(|V(G)| - 2)}{g(G) - 2}$$

Proof

Since

$$\forall f \in F(G) : d_G(f) \geq g(G) \Rightarrow$$

$$\Rightarrow 2|E(G)| = \sum_{f \in F(G)} d_G(f) \geq g(G)|F(G)|$$

$$\Rightarrow |F(G)| \leq \frac{2}{g(G)} |E(G)|.$$

Since  $G$  connected and planar, the Euler formula applies, and solving for  $|E(G)|$ :

$$|E(G)| = -2 + |V(G)| + |F(G)| \leq$$

$$\leq -2 + |V(G)| + \frac{2}{g(G)} |E(G)| \Rightarrow$$

$$\Rightarrow \frac{g(G) - 2}{g(G)} |E(G)| \leq |V(G)| - 2 \Rightarrow$$

$$\Rightarrow |E(G)| \leq \frac{g(G)}{g(G)-2} (|V(G)| - 2) \quad \square$$

- Note that with  $x = g(G)$ , the function

$$f(x) = \frac{x}{x-2}$$

has derivative

$$\begin{aligned} f'(x) &= \frac{(x)'(x-2) - x(x-2)'}{(x-2)^2} = \\ &= \frac{(x-2) - x}{(x-2)^2} = \frac{-2}{(x-2)^2} < 0 \end{aligned}$$

for all  $x \geq 3$ . Thus  $f(x)$  is decreasing so the inequality becomes tighter as we increase the lower bound on  $g(G)$ . Also note that

$f(3) = 3 \rightarrow$  property S , and

$$\lim_{x \rightarrow +\infty} f(x) = \lim_{x \rightarrow +\infty} \frac{x}{x-2} = \lim_{x \rightarrow +\infty} \frac{x}{x} = 1$$

Thus the coefficient cannot be smaller than 1 !

## APPLICATIONS

a)  $K_5$  not planar.

Note that  $|V(K_5)| = 5$  and

$\forall v \in V(K_5) : d(v) = 5 \Rightarrow$

$$\Rightarrow 2|E(K_5)| = \sum_{v \in V(K_5)} d(v) = 5|V(K_5)| = 5 \cdot 4 \cdot 5 = 100 \Rightarrow |E(K_5)| = 100$$

$$3(|V(K_5)| - 2) = 3(5 - 2) = 3 \cdot 3 = 9 < 10 = |E(K_5)| \Rightarrow$$

$\Rightarrow |E(K_5)| > 3(|V(K_5)| - 2) \Rightarrow K_5$  not planar.

b)  $K_{3,3}$  not planar

Note that  $|V(K_{3,3})| = 3 + 3 = 6$

and

$\forall v \in V(K_{3,3}) : d(v) = 3 \Rightarrow$

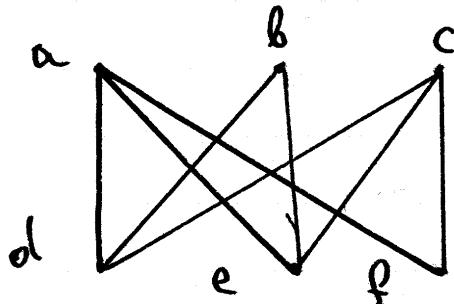
$$\Rightarrow 2|E(K_{3,3})| = \sum_{v \in V(K_{3,3})} d(v) = 3|V(K_{3,3})| = 3 \cdot 6 = 18$$

$$\rightarrow |E(K_{3,3})| = 9.$$

Unfortunately

$$3(|V(K_{3,3})|-2) = 3 \cdot (6-2) = 3 \cdot 4 = 12 > |E(K_{3,3})|$$

so we need a stronger inequality.



$$V_1 = \{a, b, c\}$$

$$V_2 = \{d, e, f\}$$

A cycle must go from  $V_1$  to  $V_2$ . Then it must go to another vertex of  $V_1$ . We cannot return directly, without going to  $V_2$  again. Thus a cycle must have length at least 4, thus

$$g(K_{3,3}) = 4$$

and

$$\frac{g(K_{3,3})}{g(K_{3,3})-2} \cdot (|V(K_{3,3})|-2) =$$

$$= \frac{4}{4-2} \cdot (6-2) = \frac{4 \cdot 4}{2} = 8 <$$

$\angle \theta = |E(K_{3,3})| \Rightarrow K_{3,3}$  not planar.

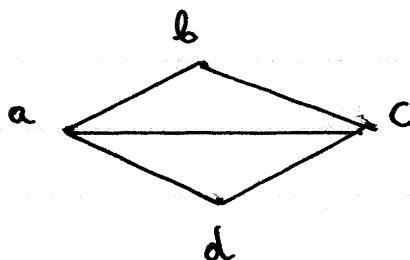
→ In general, to show that  $G$  is non-planar, it is sufficient to show that

$$\left\{ \begin{array}{l} g(G) \geq a \\ |E(G)| > \frac{a}{a-2} (|V(G)| - 2) \end{array} \right.$$

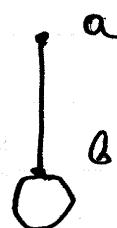
## EXERCISES

- ⑥① For the following planar graphs, identify the faces, the degree of each face and then draw the dual graph.

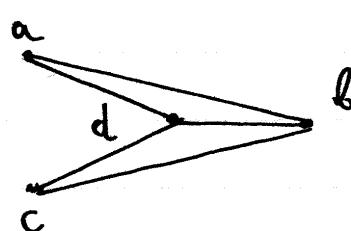
a)



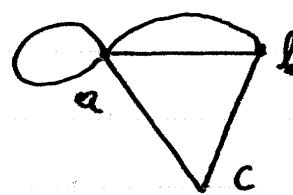
b)



c)



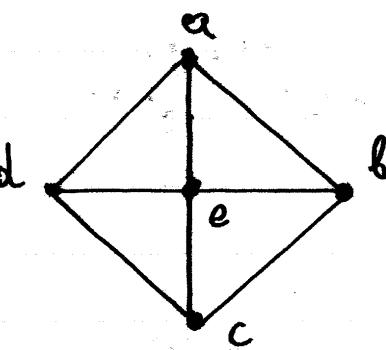
d)



e)



f)



connected

- ⑥② Show that if a planar graph is face-regular with face-regularity 4 and has 10 vertices then it must have 8 faces.

(62) Show that a face-regular planar connected graph  $G$  with face regularity  $r$  must satisfy

$$(a-2)|F(G)| = 2|V(G)| - 4$$

Then show that if  $|V(G)| \geq 3$  then  $a \geq 3$ .

(63) Consider a planar graph  $G$  which is simple, connected and regular with regularity  $a$  and face-regular with regularity  $b$ . Show that  $2a+2b-ab$  divides  $2ab$ .

(Hint: First show that

$$(2a+2b-ab)|E(G)| = 2ab$$

(64) Show that the following graphs are planar:

a)  $K_2$       b)  $K_4$

c)  $K_{2,a}$ , for  $a \geq 1$

(65) Having shown that  $K_{2,a}$  is planar, how many faces does it have, as a function of  $a$ ?

(66) Show that

$a \geq 5 \Rightarrow K_a$  not planar

$a \geq 3 \Rightarrow K_{3,a}$  not planar

$a \geq 3$  and  $b \geq 3 \Rightarrow K_{a,b}$  not planar.

(67) Show that a regular graph with regularity  $r > 6$  which is also simple and connected can never be a planar graph.

(68) Show that if  $G$  is a planar connected graph which is face regular with face regularity  $\alpha$ , then

a)  $\alpha - 2$  divides  $2|V(G)| - 4$

b)  $\alpha = 2 \Rightarrow |V(G)| = 2$

c)  $|V(G)| \geq 2 \Rightarrow \alpha \geq 2$

[Hint: First show that

$$(\alpha - 2)|F(G)| = 2|V(G)| - 4.$$